# FULBRIGHT-HAYS DOCTORAL DISSERTATION RESEARCH ABROAD PROGRAM

**CLOSING DATE: June 3, 2013** 

**Fiscal Year 2013 Application Materials** 



CFDA No. 84.022A

OMB No. 1840-0005 Expiration Date: 07/21/2013



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#### UNITED STATES DEPARTMENT OF EDUCATION

#### OFFICE OF POSTSECONDARY EDUCATION

#### Dear Applicant:

Thank you for your interest in applying for a grant under the Fulbright-Hays Doctoral Dissertation Research Abroad (DDRA) program. Included in this application booklet are the program introduction, instructions, and forms needed to submit a complete application package to the U.S. Department of Education.

Institutional grants awarded under the DDRA program provide fellowships to enable doctoral students enrolled in modern foreign language and area studies programs at U.S. institutions of higher education to conduct dissertation research overseas for 6-12 months.

This letter highlights a few items in the Fiscal Year (FY) 2013 application package that will be important to you in applying for grants under this program. You should review the entire application package carefully before preparing and submitting your application. Information on the DDRA program is accessible at the U.S. Department of Education's Web site at:

#### http://www.ed.gov/programs/iegpsddrap/index.html

Applicants should pay particular attention to the section entitled "Competition Highlights" that outlines the absolute and competitive priorities as well as other program and competition details.

Although the DDRA program funds doctoral student research, the legal applicant is the institution, and if a grant is awarded, the institution of higher education (IHE) is the legal grantee. Students are not able to apply for this funding independently. In order for institutions to apply electronically, representatives from IHEs (i.e., project directors) must register in the G5 e-Application system. Project directors who have not already registered must submit the following information, via e-mail, to <a href="mailto:ddra@ed.gov">ddra@ed.gov</a>: first and last name of the project director, university, and e-mail address. We recommend that applicant IHEs submit this information as soon as possible to ensure that applicant IHEs obtain access to the G5 e-Application system well before the application deadline date. We suggest that applicant IHEs send this information no later than two weeks prior to the closing date in order to facilitate timely submission of their electronic applications. Please note that the project director cannot be a student applicant.

A list of DDRA institutions and program project directors who have registered in G5 e-Application in previous years is included in this application. If your institution is not listed, or your project director has changed, please contact Dr. Stephanie McKissic at <a href="mailto:stephanie.mckissic@ed.gov">stephanie.mckissic@ed.gov</a> with the new project director's contact information as soon as possible.

The Department of Education requires that applications for FY 2013 grants under the DDRA program are submitted electronically using the Department's G5 e-Application system. The G5 e-Application system is accessible through its portal page at:

## www.G5.gov.

The application must be submitted on or before the deadline date. Electronic submission of applications via the G5 e-Application system is required unless you qualify for one of the exceptions to the electronic submission requirement as determined by the Notice Inviting

Applications. If you think you may need an exception, please review the requirements promptly. Applications submitted late <u>will not be accepted</u>. The Department is required to enforce the established deadline to ensure fairness to all applicants. No changes or additions to an application will be accepted after the deadline date.

You are reminded that the document published in the <u>Federal Register</u> (the Notice Inviting Applications) is the official document and that you should not rely upon any information that is inconsistent with the guidance contained in the official document.

We look forward to receiving your application and appreciate your efforts to promote excellence in international education.

Sincerely,

Clay Pell
Deputy Assistant Secretary
International and Foreign Language
Education
Office of Postsecondary Education
U.S. Department of Education

# **Competition Highlights**

- 1. Please note the following program priorities:
  - a. <u>Absolute</u>: The Department will only consider applications that meet this priority. This priority is: A research project that focuses on one or more of the following geographic areas: Africa, East Asia, Southeast Asia and the Pacific Islands, South Asia, the Near East, Central and Eastern Europe and Eurasia, and the Western Hemisphere (excluding the United States and its territories). Please note that applications that propose projects focused on the following countries are not eligible:

Andorra, Austria, Belgium, Cyprus, Denmark, Finland, France, Germany, Greece, Iceland, Ireland, Italy, Liechtenstein, Luxembourg, Malta, Monaco, Netherlands, Norway, Portugal, San Marino, Spain, Sweden, Switzerland, United Kingdom, or Vatican City.

b. <u>Competitive Preference Priority 1:</u> A research project that focuses on any of the seventy-eight (78) languages selected from the U.S. Department of Education's list of Less Commonly Taught Languages (LCTLs).

Akan (Twi-Fante), Albanian, Amharic, Arabic (all dialects), Armenian, Azeri (Azerbaijani), Balochi, Bamanakan (Bamana, Bambara, Mandikan, Mandingo, Maninka, Dyula), Belarusian, Bengali (Bangla), Berber (all languages), Bosnian, Bulgarian, Burmese, Cebuano (Visayan), Chechen, Chinese (Cantonese), Chinese (Gan), Chinese (Mandarin), Chinese (Min), Chinese (Wu), Croatian, Dari, Dinka, Georgian, Gujarati, Hausa, Hebrew (Modern), Hindi, Igbo, Indonesian, Japanese, Javanese, Kannada, Kashmiri, Kazakh, Khmer (Cambodian), Kirghiz, Korean, Kurdish (Kurmanji), Kurdish (Sorani), Lao, Malay (Bahasa Melayu or Malaysian), Malayalam, Marathi, Mongolian, Nepali, Oromo, Panjabi, Pashto, Persian (Farsi), Polish, Portuguese (all varieties), Ouechua, Romanian, Russian, Serbian, Sinhala (Sinhalese), Somali, Swahili, Tagalog, Tajik, Tamil, Telugu, Thai, Tibetan, Tigrigna, Turkish, Turkmen, Ukrainian, Urdu, Uyghur/Uigur, Uzbek, Vietnamese, Wolof, Xhosa, Yoruba, and Zulu.

c. <u>Competitive Preference Priority 2:</u> Research projects that are proposed by applicants using advanced language proficiency in one of the 78 LCTLs listed in Competitive Preference Priority 1 in the fields of economics, engineering, international development, global education, mathematics, political science, public health, science, or technology.

- d. <u>Invitational Preference Priority:</u> We encourage applications from minority-serving institutions as well as other institutions that promote the participation of students from minority backgrounds in research abroad projects in foreign languages and international studies.
- 2. Please note that these priorities are explained in detail in the Notice Inviting Applications section in this application package. Potential applicants are urged to review the Notice Inviting Applications notice carefully before preparing an application.
- 3. Please note that, although the DDRA program funds student research, the legal applicant is the institution of higher education (IHE), and if a grant is awarded, the institution is the legal grantee. Students are not able to apply for this funding independently. In order to apply for this fellowship program, it is necessary for the student and the institution to coordinate their competition activities and keep each other informed.
- 4. Applications must be submitted electronically via the U.S. Department of Education's G5 e-Application system. This system may be accessed at <a href="https://www.G5.gov">www.G5.gov</a>. Both the student and the IHE must complete their portions of the application correctly and submit via the G5 e-Application system.
- 5. The application must be received on or before the deadline date and time. Please note that the U.S. Department of Education's grant application deadline is at 4:30 pm EST. Late applications will not be accepted.
- 6. Electronic submission of an application is required unless it qualifies for one of the (rare) exceptions to the electronic submission requirement as outlined in the Federal Register's Notice Inviting Applications.
- 7. All applicants are required to adhere to the 10-page limit for the Project Narrative and 2- page limit for the bibliography portion of the application.
- 8. A font standard in the notice requires that applicants use one of the following 12 pt. fonts: Times New Roman, Courier, Courier New or Arial. Applications submitted in **any other font (including Times Roman, Arial Narrow)** will not be accepted.
- 9. Student transcripts must be scanned as one document and uploaded into the student application.

Applicants are reminded that the document published in the <u>Federal Register</u> is the official document for application guidelines and that applicants should not rely upon any information that is inconsistent with the guidance contained within the Federal Register.

4001-01-U

DEPARTMENT OF EDUCATION

Applications for New Awards; Fulbright-Hays Doctoral

Dissertation Research Abroad (DDRA) Fellowship Program

AGENCY: Office of Postsecondary Education, Department of Education.

ACTION: Notice.

# Overview Information:

Fulbright-Hays Doctoral Dissertation Research Abroad (DDRA)
Fellowship Program

Notice inviting applications for new awards for fiscal year (FY) 2013.

Catalog of Federal Domestic Assistance (CFDA) Number: 84.022A.

#### Dates:

Applications Available: May 2, 2013

Deadline for Transmittal of Applications: June 3, 2013

Full Text of Announcement

I. Funding Opportunity Description

Purpose of Program: The Fulbright-Hays DDRA Fellowship

Program provides opportunities to doctoral candidates to

engage in full-time dissertation research abroad in modern

foreign languages and area studies. The program is

designed to contribute to the development and improvement of the study of modern foreign languages and area studies in the United States.

Priorities: This notice contains one absolute priority, two competitive preference priorities, and one invitational priority, which are described in the following paragraphs.

In accordance with 34 CFR 75.105(b)(2)(ii), the absolute and competitive preference priorities are from the regulations for this program (34 CFR 662.21(d)).

Absolute Priority: For FY 2013, this priority is an absolute priority. Under 34 CFR 75.105(c)(3), we consider only applications that meet this priority.

#### This priority is:

A research project that focuses on one or more of the following geographic areas: Africa, East Asia, Southeast Asia and the Pacific Islands, South Asia, the Near East, Central and Eastern Europe and Eurasia, and the Western Hemisphere (excluding the United States and its territories). Please note that applications that propose projects focused on the following countries are not eligible: Andorra, Austria, Belgium, Cyprus, Denmark, Finland, France, Germany, Greece, Iceland, Ireland, Italy, Liechtenstein, Luxembourg, Malta, Monaco, Netherlands,

Norway, Portugal, San Marino, Spain, Sweden, Switzerland, United Kingdom, or Vatican City.

<u>Competitive Preference Priorities</u>: Within this absolute priority, we give competitive preference to applications that address one or both of the following priorities.

For FY 2013, these priorities are competitive preference priorities. Under 34 CFR 75.105(c)(2)(i) and 34 CFR 662.21(d)(2), we award an additional five points to an application for each competitive preference priority it meets (up to 10 additional points).

These priorities are:

Competitive Preference Priority 1 (5 points): A research project that focuses on any of the 78 languages selected from the U.S. Department of Education's list of Less Commonly Taught Languages (LCTLs), as follows:

Akan (Twi-Fante), Albanian, Amharic, Arabic (all dialects), Armenian, Azeri (Azerbaijani), Balochi,
Bamanakan (Bamana, Bambara, Mandikan, Mandingo, Maninka,
Dyula), Belarusian, Bengali (Bangla), Berber (all languages), Bosnian, Bulgarian, Burmese, Cebuano (Visayan),
Chechen, Chinese (Cantonese), Chinese (Gan), Chinese (Mandarin), Chinese (Min), Chinese (Wu), Croatian, Dari,
Dinka, Georgian, Gujarati, Hausa, Hebrew (Modern), Hindi,

Igbo, Indonesian, Japanese, Javanese, Kannada, Kashmiri, Kazakh, Khmer (Cambodian), Kirghiz, Korean, Kurdish (Kurmanji), Kurdish (Sorani), Lao, Malay (Bahasa Melayu or Malaysian), Malayalam, Marathi, Mongolian, Nepali, Oromo, Panjabi, Pashto, Persian (Farsi), Polish, Portuguese (all varieties), Quechua, Romanian, Russian, Serbian, Sinhala (Sinhalese), Somali, Swahili, Tagalog, Tajik, Tamil, Telugu, Thai, Tibetan, Tigrigna, Turkish, Turkmen, Ukrainian, Urdu, Uyghur/Uigur, Uzbek, Vietnamese, Wolof, Xhosa, Yoruba, and Zulu.

Competitive Preference Priority 2 (5 points): Research projects that are proposed by applicants using advanced language proficiency in one of the 78 LCTLs listed in Competitive Preference Priority 1 in their research and who are in the fields of economics, engineering, international development, global education, mathematics, political science, public health, science, or technology.

Invitational Priority: We encourage applications from minority-serving institutions as well as other institutions that promote the participation of students from minority backgrounds in research abroad projects in foreign languages and international studies.

Program Authority: 22 U.S.C. 2452(b)(6).

Applicable Regulations: (a) The Education Department

General Administrative Regulations (EDGAR) in 34 CFR parts

74, 75, 77, 81, 82, 84, 86, 97, 98, and 99. (b) The

Education Department suspension and debarment regulations

in 2 CFR part 3485. (c) The regulations for this program

in 34 CFR part 662.

Note: The regulations in 34 CFR part 86 apply to institutions of higher education (IHEs) only.

#### II. Award Information

Type of Award: Discretionary grants redistributed as fellowships to individual beneficiaries.

Note: As part of its FY 2013 budget request, the Administration proposed to continue to allow funds to be used to support the applications of individuals who plan both to utilize their language skills in world areas vital to United States national security and to apply their language skills and knowledge of these countries in the fields of government, international development, and the professions. Therefore, students planning to apply their language skills in such fields and those planning teaching careers are eligible to apply for this program.

Estimated Available Funds: \$3,036,237.

Estimated Range of Fellowship Awards: \$15,000 to \$60,000.

Estimated Average Size of Fellowship Awards: \$35,305.

Estimated Number of Fellowship Awards: 86.

Note: The Department is not bound by any estimates in this notice.

Project Period: The institutional project period is 18 months, beginning October 1, 2013. Students may request funding for a period of no less than 6 months and no more than 12 months.

## III. Eligibility Information

- 1. Eligible Applicants: IHEs. As part of the application process, students submit individual applications to the IHE. The IHE then officially submits all eligible individual student applications with its grant application to the Department.
- 2. <u>Cost Sharing or Matching</u>: This program does not require cost sharing or matching.

#### IV. Application and Submission Information

1. Address to Request Application Package: Both IHEs and student applicants can obtain an application package via the Internet at <a href="www.G5.gov">www.G5.gov</a> or from Stephanie McKissic, International and Foreign Language Education, U.S.

Department of Education, 1990 K Street NW, room 6069,

Washington, DC 20006-8521. Telephone: (202) 502-7589; or, by e-mail: ddra@ed.gov.

If you use a telecommunications device for the deaf (TDD) or a text telephone (TTY), call the Federal Relay Service (FRS), toll free, at 1-800-877-8339.

Individuals with disabilities can obtain a copy of the application package in an accessible format (e.g., braille, large print, audiotape, or compact disc) by contacting the program contact person listed in this section.

2. Content and Form of Application Submission:

Requirements concerning the content of an application,

together with the forms the applicant must submit, are in
the application package for this program.

Page Limit: The application narrative is where the student applicant addresses the selection criteria that reviewers use to evaluate the application. The student applicant must limit the application narrative to no more than 10 pages and the bibliography to no more than 2 pages, using the following standards:

- $\bullet$  A "page" is 8.5" x 11", on one side only, with 1" margins at the top, bottom, and both sides.
- Double space (no more than three lines per vertical inch) all text in the application narrative. However,

student applicants may single space all text in charts, tables, figures, graphs, titles, headings, footnotes, endnotes, quotations, bibliography, and captions.

- Use a font that is either 12 point or larger, or no smaller than 10 pitch (characters per inch). Student applicants may use a 10 point font in charts, tables, figures, graphs, footnotes, and endnotes. However, these items are considered part of the narrative and counted within the 10-page limit.
- Use one of the following fonts: Times New Roman, Courier, Courier New, or Arial. An application submitted in any other font (including Times Roman or Arial Narrow) will not be accepted.

The page limits only apply to the application narrative and bibliography. The page limits do not apply to the Application for Federal Assistance face sheet (SF 424), the supplemental information form required by the Department of Education, or the assurances and certification. However, student applicants must include their complete responses to the selection criteria in the application narrative.

We will reject a student applicant's application if the application exceeds the page limits.

#### 3. Submission Dates and Times:

Applications Available: May 2, 2013.

Deadline for Transmittal of Applications: June 3, 2013.

Applications for grants under this program must be submitted electronically using G5, the Department's grant management system, accessible through the Department's G5 site. For information (including dates and times) about how to submit an IHE's application electronically, or in paper format by mail or hand delivery if an IHE qualifies for an exception to the electronic submission requirement, please refer to Section IV. 7. Other Submission

Requirements of this notice.

We do not consider an application that does not comply with the deadline requirements.

Individuals with disabilities who need an accommodation or auxiliary aid in connection with the application process should contact the person listed under For Further Information Contact in Section VII of this notice. If the Department provides an accommodation or auxiliary aid to an individual with a disability in connection with the application process, the individual's application remains subject to all other requirements and limitations in this notice.

- 4. <u>Intergovernmental Review</u>: This program is not subject to Executive Order 12372 and the regulations in 34 CFR part 79.
- 5. <u>Funding Restrictions</u>: We reference regulations outlining funding restrictions in the <u>Applicable</u>
  Regulations section of this notice.
- 6. <u>Data Universal Numbering System Number, Taxpayer</u>

  Identification Number, Central Contractor Registry, and System

  for Award Management: To do business with the Department of

  Education, you must--
- a. Have a Data Universal Numbering System (DUNS) number and a Taxpayer Identification Number (TIN);
- b. Register both your DUNS number and TIN with the Central Contractor Registry (CCR) -- and, after July 24, 2012, with the System for Award Management (SAM) -- the Government's primary registrant database;
- c. Provide your DUNS number and TIN on your application;
- d. Maintain an active CCR or SAM registration with current information while your application is under review by the Department and, if you are awarded a grant, during the project period.

You can obtain a DUNS number from Dun and Bradstreet. A DUNS number can be created within one business day.

If you are a corporate entity, agency, institution, or organization, you can obtain a TIN from the Internal Revenue Service. If you are an individual, you can obtain a TIN from the Internal Revenue Service or the Social Security

Administration. If you need a new TIN, please allow 2-5 weeks for your TIN to become active.

The CCR or SAM registration process may take five or more business days to complete. If you are currently registered with the CCR, you may not need to make any changes. However, please make certain that the TIN associated with your DUNS number is correct. Also note that you will need to update your registration annually. This may take three or more business days to complete. Information about SAM is available at SAM.gov.

- 7. Other Submission Requirements: Applications for grants under this program must be submitted electronically unless an IHE qualifies for an exception to this requirement in accordance with the instructions in this section.
  - a. Electronic Submission of Applications.

Applications for grants under the Fulbright-Hays DDRA Fellowship Program, CFDA number 84.022A, must be submitted electronically using the G5 system, accessible through the Department's G5 site: www.G5.gov.

We will reject an application if an IHE submits it in paper format unless, as described elsewhere in this section, the IHE qualifies for one of the exceptions to the electronic submission requirement and submits, no later than two weeks before the application deadline date, a written statement to the Department that the IHE qualifies for one of these exceptions. Further information regarding calculation of the date that is two weeks before the application deadline date is provided later in this section under Exception to Electronic Submission Requirement.

While completing the electronic application, both the IHE and the student applicant will be entering data online that will be saved into a database. Neither the IHE nor the student applicant may e-mail an electronic copy of a grant application to us.

Please note the following:

• The process for submitting applications electronically under the Fulbright-Hays DDRA Fellowship Program has several parts. The following is a brief

summary of the process; however, all applicants should review and follow the detailed description of the application process that is contained in the application package. In summary, the major steps are:

- (1) IHEs must e-mail the following information to ddra@ed.gov: name of university and full name and e-mail address of potential project director. We recommend that applicant IHEs submit this information as soon as possible to ensure that they obtain access to G5 well before the application deadline date. We suggest that applicant IHEs send this information no later than two weeks prior to the closing date in order to facilitate timely submission of their applications;
- (2) Students must complete their individual applications and submit them to their IHE's project director using G5;
- (3) Persons providing references for individual students must complete and submit reference forms for the students and submit them to the IHE's project director using G5; and
- (4) The IHE's project director must officially submit the IHE's application, which must include all eligible

individual student applications, reference forms, and other required forms, using G5.

- The IHE must complete the electronic submission of the grant application by 4:30:00 p.m., Washington, DC time, on the application deadline date. G5 will not accept an application for this competition after 4:30:00 p.m., Washington, DC time, on the application deadline date. Therefore, we strongly recommend that both the IHE and the student applicant not wait until the application deadline date to begin the application process.
- The hours of operation of the G5 Web site are 6:00 a.m. Monday until 7:00 p.m. Wednesday; and 6:00 a.m. Thursday until 8:00 p.m. Sunday, Washington, DC time. Please note that, because of maintenance, the system is unavailable between 8:00 p.m. on Sundays and 6:00 a.m. on Mondays, and between 7:00 p.m. on Wednesdays and 6:00 a.m. on Thursdays, Washington, DC time. Any modifications to these hours are posted on the G5 Web site.
- Student applicants will not receive additional point value because the student submits his or her application in electronic format, nor will we penalize the IHE or student applicant if the applicant qualifies for an exception to the electronic submission requirement, as

described elsewhere in this section, and submits an application in paper format.

- IHEs must submit all documents electronically, including all information typically provided on the following forms: the Application for Federal Assistance (SF 424), the Department of Education Supplemental Information for SF 424, Budget Information—Non—Construction Programs (ED 524), and all necessary assurances and certifications. Both IHEs and student applicants must upload any narrative sections and all other attachments to your application as files in a PDF (Portable Document) read—only, non—modifiable format. Do not upload an interactive or fillable PDF file. If you upload a file type other than a read—only, non—modifiable PDF or submit a password—protected file, we will not review that material.
- Student transcripts must be submitted electronically through the G5 system.
- Both the IHE's and the student applicant's electronic applications must comply with any page limit requirements described in this notice.
- Prior to submitting your electronic application,
   you may wish to print a copy of it for your records.

- After the individual student applicant
  electronically submits his or her application to the
  student's IHE, the student will receive an automatic
  acknowledgment. In addition, the applicant IHE's project
  director will receive a copy of this acknowledgment by email. After a person submits a reference electronically,
  he or she will receive an online confirmation. After the
  applicant IHE submits its application, including all
  eligible individual student applications, to the
  Department, the applicant IHE will receive an automatic
  acknowledgment, which will include a PR/Award number (an
  identifying number unique to the IHE's application).
- Within three working days after submitting the IHE's electronic application, the IHE must fax a signed copy of the SF 424 to the Application Control Center after following these steps:
  - (1) Print SF 424 from G5.
- (2) The applicant IHE's Authorizing Representative must sign this form.
- (3) Place the PR/Award number in the upper right hand corner of the hard-copy signature page of the SF 424.
- (4) Fax the signed SF 424 to the Application Control Center at (202) 245-6272.

• We may request that you provide us original signatures on other forms at a later date.

Application Deadline Date Extension in Case of System

Unavailability: If an IHE is prevented from electronically submitting its application on the application deadline date because the G5 system is unavailable, we will grant the IHE an extension until 4:30:00 p.m., Washington, DC time, the following business day to enable the IHE to transmit its application electronically, by mail, or by hand delivery. We will grant this extension if—

- (1) The IHE is a registered user of the G5 system and the IHE has initiated an electronic application for this competition; and
- (2) (a) The G5 system is unavailable for 60 minutes or more between the hours of 8:30 a.m. and 3:30 p.m., Washington, DC time, on the application deadline date; or
- (b) G5 is unavailable for any period of time between 3:30 p.m. and 4:30:00 p.m., Washington, DC time, on the application deadline date.

We must acknowledge and confirm these periods of unavailability before granting the IHE an extension. To request this extension or to confirm our acknowledgment of any system unavailability, an IHE may contact either (1)

Further Information Contact (see Section VII. Agency
Contact) or (2) the G5 help desk at 1-888-336-8930. If G5 is unavailable due to technical problems with the system and, therefore, the application deadline is extended, an email will be sent to all registered users who have initiated a G5 Application. Extensions referred to in this section apply only to the unavailability of the G5 system.

Exception to Electronic Submission Requirement: An IHE qualifies for an exception to the electronic submission requirement, and may submit its application in paper format, if the IHE is unable to submit an application through G5 because—

- The IHE or a student applicant does not have access to the Internet; or
- The IHE or a student applicant does not have the capacity to upload large documents to G5;
   and
- No later than two weeks before the application deadline date (14 calendar days or, if the fourteenth calendar day before the application deadline date falls on a Federal holiday, the next business day following the Federal holiday), the IHE mails or faxes a written

statement to the Department, explaining which of the two grounds for an exception prevents the IHE from using the Internet to submit its application. If an IHE mails a written statement to the Department, it must be postmarked no later than two weeks before the application deadline date. If an IHE faxes its written statement to the Department, we must receive the faxed statement no later than two weeks before the application deadline date.

Address and mail or fax this statement to: Stephanie McKissic, U.S. Department of Education, 1990 K Street NW, room 6069, Washington, DC 20006-8521. FAX: (202) 502-7589.

The IHE's paper application must be submitted in accordance with the mail or hand delivery instructions described in this notice.

#### b. Submission of Paper Applications by Mail.

If an IHE qualifies for an exception to the electronic submission requirement, the IHE may mail (through the U.S. Postal Service or a commercial carrier) its application to the Department. The IHE must mail the original and two copies of the application, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education Application Control Center

Attention: (CFDA Number 84.022A) LBJ Basement Level 1 400 Maryland Avenue, SW. Washington, DC 20202-4260

The IHE must show proof of mailing consisting of one of the following:

- (1) A legibly dated U.S. Postal Service postmark.
- (2) A legible mail receipt with the date of mailing stamped by the U.S. Postal Service.
- (3) A dated shipping label, invoice, or receipt from a commercial carrier.
- (4) Any other proof of mailing acceptable to the Secretary of the U.S. Department of Education.

If the IHE mails its application through the U.S. Postal Service, we do not accept either of the following as proof of mailing:

- (1) A private metered postmark.
- (2) A mail receipt that is not dated by the U.S. Postal Service.

If the IHE's application is postmarked after the application deadline date, we will not consider its application.

Note: The U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, the IHE should check with its local post office.

c. Submission of Paper Applications by Hand Delivery.

If an IHE qualifies for an exception to the electronic submission requirement, the IHE (or a courier service) may deliver its paper application to the Department by hand.

The IHE must deliver the original and two copies of the application, by hand, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education Application Control Center Attention: (CFDA Number 84.022A) 550 12th Street, SW. Room 7041, Potomac Center Plaza Washington, DC 20202-4260

The Application Control Center accepts hand deliveries daily between 8:00:00 a.m. and 4:30:00 p.m., Washington, DC time, except Saturdays, Sundays, and Federal holidays.

Note for Mail or Hand Delivery of Paper Applications: If an IHE mails or hand delivers its application to the Department--

- (1) The IHE must indicate on the envelope and—if not provided by the Department—in Item 11 of the SF 424 the CFDA number, including suffix letter, if any, of the competition under which the IHE is submitting its application; and
- (2) The Application Control Center will mail a notification of receipt of the IHE's grant application. If

the IHE does not receive this grant notification within 15 business days from the application deadline date, the IHE should call the U.S. Department of Education Application Control Center at (202) 245-6288.

#### V. Application Review Information

- 1. General: For FY 2013, student applications are divided into seven categories based on the world area focus of their research projects, as described in the absolute priority listed in this notice. Language and area studies experts in discrete world area-based panels will review the student applications. Each panel reviews, scores, and ranks its applications separately from the applications assigned to the other world area panels. However, all fellowship applications will be ranked together from the highest to lowest score for funding purposes.
- 2. <u>Selection Criteria</u>: The selection criteria for this competition are from 34 CFR 662.21 and are listed in the following paragraphs. The maximum score for all of the criteria, including the competitive preference priorities, is 110 points. The maximum score for each criterion is indicated in parentheses.

Quality of proposed project (60 points): The Secretary reviews each application to determine the quality

of the research project proposed by the applicant. The Secretary considers--

- (1) The statement of the major hypotheses to be tested or questions to be examined, and the description and justification of the research methods to be used (15 points);
- (2) The relationship of the research to the literature on the topic and to major theoretical issues in the field, and the project's originality and importance in terms of the concerns of the discipline (10 points);
- (3) The preliminary research already completed in the United States and overseas or plans for such research prior to going overseas, and the kinds, quality, and availability of data for the research in the host country or countries (10 points);
- (4) The justification for overseas field research and preparations to establish appropriate and sufficient research contacts and affiliations abroad (10 points);
- (5) The applicant's plans to share the results of the research in progress and a copy of the dissertation with scholars and officials of the host country or countries (5 points); and

(6) The guidance and supervision of the dissertation advisor or committee at all stages of the project, including guidance in developing the project, understanding research conditions abroad, and acquainting the applicant with research in the field (10 points).

Qualifications of the applicant (40 points): The Secretary reviews each application to determine the qualifications of the applicant. The Secretary considers--

- (1) The overall strength of the applicant's graduate academic record (10 points);
- (2) The extent to which the applicant's academic record demonstrates strength in area studies relevant to the proposed project (10 points);
- (3) The applicant's proficiency in one or more of the languages (other than English and the applicant's native language) of the country or countries of research, and the specific measures to be taken to overcome any anticipated language barriers (15 points); and
- (4) The applicant's ability to conduct research in a foreign cultural context, as evidenced by the applicant's references or previous overseas experience, or both (5 points).

3. Review and Selection Process: We remind potential applicants that in reviewing applications in any discretionary grant competition, the Secretary may consider, under 34 CFR 75.217(d)(3), the past performance of the applicant in carrying out a previous award, such as the applicant's use of funds, achievement of project objectives, and compliance with grant conditions. The Secretary may also consider whether the applicant failed to submit a timely performance report or submitted a report of unacceptable quality.

In addition, in making a competitive grant award, the Secretary also requires various assurances including those applicable to Federal civil rights laws that prohibit discrimination in programs or activities receiving Federal financial assistance from the Department of Education (34 CFR 100.4, 104.5, 106.4, 108.8, and 110.23).

4. Special Conditions: Under 34 CFR 74.14 and 80.12, the Secretary may impose special conditions on a grant if the applicant or grantee is not financially stable, has a history of unsatisfactory performance, has a financial or other management system that does not meet the standards in 34 CFR parts 74 or 80, as applicable, has not fulfilled the

conditions of a prior grant, or is otherwise not responsible.

#### VI. Award Administration Information

1. Award Notices: If a student application is successful, we notify the IHE's U.S. Representative and U.S. Senators and send the IHE a Grant Award Notification (GAN); or we may send you an email containing a link to access an electronic version of the GAN. We may notify the IHE informally, also.

If a student application is not evaluated or not selected for funding, we notify the IHE.

2. Administrative and National Policy Requirements:
We identify administrative and national policy requirements
in the application package and reference these and other
requirements in the <u>Applicable Regulations</u> section in this
notice.

We reference the regulations outlining the terms and conditions of an award in the <u>Applicable Regulations</u> section in this notice and include these and other specific conditions in the GAN. The GAN also incorporates its approved application as part of the binding commitments under the grant.

- 3. Reporting: (a) If you apply for a grant under this competition, you must ensure that you have in place the necessary processes and systems to comply with the reporting requirements in 2 CFR part 170 should you receive funding under the competition. This does not apply if you have an exception under 2 CFR 170.110(b).
- (b) At the end of your project period, you must submit a final performance report, including financial information, as directed by the Secretary. If you receive a multi-year award, you must submit an annual performance report that provides the most current performance and financial expenditure information as directed by the Secretary under 34 CFR 75.118. Grantees are required to use the electronic data instrument International Resource Information System (IRIS) to complete the final report. The Secretary may also require more frequent performance reports under 34 CFR 75.720(c). For specific requirements on reporting, please go to

www.ed.gov/fund/grant/apply/appforms/appforms.html.

4. Performance Measures: Under the Government

Performance and Results Act of 1993, the objective for the

Fulbright-Hays DDRA Fellowship Program is to provide grants

to colleges and universities to fund individual doctoral

students to conduct research in other countries in modern foreign languages and area studies for periods of 6 to 12 months.

The Department will use the following DDRA measures to evaluate its success in meeting this objective:

Performance Measure 1: The average language competency score of Fulbright-Hays DDRA Fellowship recipients at the end of their period of research minus their average score at the beginning of the period.

Efficiency measure: Cost per grantee of increasing language competency by at least one level in at least one area.

The information provided by grantees in their performance report submitted via IRIS will be the source of data for this measure. Reporting screens for institutions and fellows may be viewed at:

http://iris.ed.gov/iris/pdfs/DDRA\_director.pdf.

http://iris.ed.gov/iris/pdfs/DDRA\_fellows.pdf.

VII. Agency Contact

For Further Information Contact: Stephanie McKissic, International and Foreign Language Education, U.S.

Department of Education, 1990 K Street NW, room 6069,

Washington, DC 20006-8521. Telephone: (202) 502-7589 or by e-mail: ddra@ed.gov.

If you use a TDD or a TTY, call the FRS, toll free, at 1-800-877-8339.

VIII. Other Information

Accessible Format: Individuals with disabilities can obtain this document and a copy of the application package in an accessible format (e.g., braille, large print, audiotape, or compact disc) on request to the program contact person listed under <a href="For Further Information Contact">For Further Information Contact</a> in Section VII of this notice.

Electronic Access to This Document: The official version of this document is the document published in the Federal Register. Free Internet access to the official edition of the Federal Register and the Code of Federal Regulations is available via the Federal Digital System at:

www.gpo.gov/fdsys. At this site you can view this
document, as well as all other documents of this Department
published in the <u>Federal Register</u>, in text or Adobe
Portable Document Format (PDF). To use PDF you must have
Adobe Acrobat Reader, which is available for free at the
site.

You may also access documents of the Department published in the <a href="Federal Register">Federal Register</a> by using the article search feature at: <a href="www.federalregister.gov">www.federalregister.gov</a>. Specifically, through the advanced search feature at this site, you can limit your search to documents published by the Department. Dated:

Martha Kanter, Under Secretary for Education. HIGHER EDUCATION PROGRAMS; 34 CFR PARTS 606, 607, 611, 637, 648, 656, 657, 658, 660, 661, 662, 663, 664, and 669; Final regulations. [OPE]FR Doc 05-5547

[Federal Register: March 21, 2005 (Volume 70, Number 53)]

[Rules and Regulations] [Page 13371-13377]

From the Federal Register Online via GPO Access [wais.access.gpo.gov]

[DOCID:fr21mr05-14]

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DEPARTMENT OF EDUCATION

34 CFR Parts 606, 607, 611, 637, 648, 656, 657, 658, 660, 661, 662,

663, 664, AND 669

**HIGHER EDUCATION PROGRAMS** 

AGENCY: Office of Postsecondary Education, Department of Education.

**ACTION:** FINAL REGULATIONS.

# PART 662--FULBRIGHT-HAYS DOCTORAL DISSERTATION RESEARCH ABROAD FELLOWSHIP PROGRAM

40. The authority citation for part 662 continues to read as follows:

Authority: Section 102(b)(6) of the Mutual Educational and Cultural Exchange Act of 1961
(Fulbright-Hays Act), 22 U.S.C. 2452(b)(6), unless otherwise noted.

- 41. Section 662.21 is amended by—
  - A. Removing all of the parentheticals that end in "points)" and removing the parentheticals "(10)", "(15)", and "(5)" wherever they appear;
  - B. In paragraph (c)(2), removing the word "a"; and
  - C. Revising paragraph (a) to read as follows:

    Sec. 662.21 What criteria does the Secretary use to evaluate an application for a fellowship?
  - (a) General. The Secretary evaluates an application for a fellowship on the basis of the criteria in this section. The Secretary informs applicants of the maximum possible score for each criterion in the application package or in a notice published in the Federal Register.

**Code of Federal Regulations**]

[Title 34, Volume 3]

[Revised as of July 1, 2008]

From the U.S. Government Printing Office via GPO

Access

[CITE: 34CFR662] [Page 391-395]

#### **TITLE 34--EDUCATION**

### CHAPTER VI--OFFICE OF POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

# PART 662—FULBRIGHT-HAYS DOCTORAL DISSERTATION RESEARCH ABROAD FELLOWSHIP PROGRAM

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#### Subpart E—Post-award Requirements for Fellows

§ 662.41 What are a fellow's responsibilities after the award of a fellowship?

§ 662.42 How may a fellowship be revoked?

**Authority:** Section 102(b)(6) of the Mutual Educational and Cultural Exchange Act of 1961 (Fulbright-Hays Act), 22 U.S.C. 2452(b)(6), unless otherwise noted.

**Source:** 63 FR 46361, Aug. 31, 1998, unless otherwise noted.

Subpart A—General

### § 662.1 What is the Fulbright-Hays Doctoral Dissertation Research Abroad Fellowship Program?

(a) The Fulbright-Hays Doctoral Dissertation Research Abroad Fellowship Program is designed to contribute to the development and improvement of the study of modern foreign languages and area studies in the United States by providing opportunities for scholars to conduct research abroad.

(b) Under the program, the Secretary awards fellowships, through institutions of higher education, to doctoral candidates who propose to conduct dissertation research abroad in modern foreign languages and area studies.

(Authority: 22 U.S.C. 2452(b)(6))

### § 662.2 Who is eligible to receive an institutional grant under this program?

An institution of higher education is eligible to receive an institutional grant.

(Authority: 22 U.S.C. 2452(b)(6), 2454(e)(1))

### § 662.3 Who is eligible to receive a fellowship under this program?

An individual is eligible to receive a fellowship if the individual—

(a)(1) Is a citizen or national of the United States; or

(2) Is a permanent resident of the United States;

(b)(1) Is a graduate student in good standing at an institution of higher education; and

(2) When the fellowship period begins, is admitted to candidacy in a doctoral degree program in modern foreign languages and area studies at that institution;

(c) Is planning a teaching career in the United States upon completion of his or her doctoral program; and

(d) Possesses sufficient foreign language skills to carry out the dissertation research project.

(Authority: 22 U.S.C. 2452(b)(6), 2454(e)(1))

#### § 662.4 What is the amount of a fellowship?

(a) The Secretary pays—

(1) Travel expenses to and from the residence of the fellow and the country or countries of research;

(2) A maintenance stipend for the fellow and his or her dependents related to cost of living in the host country or countries;

(3) An allowance for research-related expenses overseas, such as books, copying, tuition and affiliation fees, local travel, and other incidental expenses; and

(4) Health and accident insurance premiums.

(b) In addition, the Secretary may pay—

(1) Emergency medical expenses not covered by health and accident insurance; and

(2) The costs of preparing and transporting the remains of a fellow or dependent who dies during the term of the fellowship to his or her former home.

(c) The Secretary announces the amount of benefits expected to be available in an application notice published in the Federal Register.

(Authority: 22 U.S.C. 2452(b)(6), 2454(e) (1) and (2))

#### § 662.5 What is the duration of a fellowship?

(a) A fellowship is for a period of not fewer than six nor more than twelve months.

(b) A fellowship may not be renewed.

(Authority: 22 U.S.C. 2452(b)(6))

#### § 662.6 What regulations apply to this program?

The following regulations apply to this program:

(a) The regulations in this part 662; and

(b) The Education Department General Administrative Regulations (EDGAR) (34 CFR parts 74, 75, 77, 81, 82, 85, and 86).

(Authority: 22 U.S.C. 2452(b)(6))

#### § 662.7 What definitions apply to this program?

(a) Definitions of the following terms as used in this part are contained in 34 CFR part 77:

Applicant

Application

Award

**EDGAR** 

Fiscal year

Grant

Secretary

- (b) The definition of *institution of higher education* as used in this part is contained in 34 CFR 600.4.
- (c) The following definitions of other terms used in this part apply to this program:

Area studies means a program of comprehensive study of the aspects of a society or societies, including the study of their geography, history, culture, economy, politics, international relations, and languages.

Binational commission means an educational and cultural commission established, through an agreement between the United States and either a foreign government or an international organization, to carry out functions in connection with the program covered by this part.

Dependent means any of the following individuals who accompany the recipient of a fellowship under this program to his or her training site for the entire fellowship period if the individual receives more than 50 percent of his or her support from the recipient during the fellowship period:

- (1) The recipient's spouse.
- (2) The recipient's or spouse's children who are unmarried and under age 21.
- J. William Fulbright Foreign Scholarship Board means the presidentially-appointed board that is responsible for supervision of the program covered by this part. (Authority: 22 U.S.C. 2452(b)(6), 2456)

#### **Subpart B—Applications**

### § 662.10 How does an individual apply for a fellowship?

- (a) An individual applies for a fellowship by submitting an application to the Secretary through the institution of higher education in which the individual is enrolled.
- (b) The applicant shall provide sufficient information concerning his or her personal and academic background and proposed research project to enable the Secretary to determine whether the applicant—
- (1) Is eligible to receive a fellowship under §662.3; and
- (2) Should be selected to receive a fellowship under subparts C and D of this part.

(Authority: 22 U.S.C. 2452(b)(6))

### § 662.11 What is the role of the institution in the application process?

An institution of higher education that participates in this program is responsible for—

- (a) Making fellowship application materials available to its students:
- (b) Accepting and screening applications in accordance with its own technical and academic criteria; and
- (c) Forwarding screened applications to the Secretary and requesting an institutional grant.

(Authority: 22 U.S.C. 2452(b)(6), 2454(e)(1))

#### Subpart C—Selection of Fellows § 662.20 How is a Fulbright-Hays Doctoral Dissertation Research Abroad Fellow selected?

- (a) The Secretary considers applications for fellowships under this program that have been screened and submitted by eligible institutions. The Secretary evaluates these applications on the basis of the criteria in §662.21.
- (b) The Secretary does not consider applications to carry out research in a country in which the United States has no diplomatic representation.
- (c) In evaluating applications, the Secretary obtains the advice of panels of United States academic specialists in modern foreign languages and area studies.
- (d) The Secretary gives preference to applicants who have served in the armed services of the United States if their applications are equivalent to those of other applicants on the basis of the criteria in §662.21.
- (e) The Secretary considers information on budget, political sensitivity, and feasibility from binational commissions or United States diplomatic missions, or both, in the proposed country or countries of research.
- (f) The Secretary presents recommendations for recipients of fellowships to the J. William Fulbright Foreign Scholarship Board, which reviews the recommendations and approves recipients.

(Authority: 22 U.S.C. 2452(b)(6), 2456)

### § 662.21 What criteria does the Secretary use to evaluate an application for a fellowship?

- (a) General. The Secretary evaluates an application for a fellowship on the basis of the criteria in this section. The Secretary informs applicants of the maximum possible score for each criterion in the application package or in a notice published in the Federal Register.
- (b) *Quality of proposed project*. The Secretary reviews each application to determine the quality of the research project proposed by the applicant. The Secretary considers—
- (1) The statement of the major hypotheses to be tested or questions to be examined, and the description and justification of the research methods to be used;
- (2) The relationship of the research to the literature on the topic and to major theoretical issues in the field, and the project's originality and importance in terms of the concerns of the discipline:
- (3) The preliminary research already completed in the United States and overseas or plans for such research prior to going overseas, and the kinds, quality and availability of data for the research in the host country or countries;
- (4) The justification for overseas field research and preparations to establish appropriate and sufficient research contacts and affiliations abroad;
- (5) The applicant's plans to share the results of the research in progress and a copy of the dissertation with scholars and officials of the host country or countries; and
- (6) The guidance and supervision of the dissertation advisor or committee at all stages of the project, including guidance in developing the project, understanding research conditions abroad, and acquainting the applicant with research in the field.
- (c) Qualifications of the applicant. The Secretary reviews each application to determine the qualifications of the applicant. The Secretary considers—
- (1) The overall strength of the applicant's graduate academic record;

- (2) The extent to which the applicant's academic record demonstrates strength in area studies relevant to the proposed project;
- (3) The applicant's proficiency in one or more of the languages (other than English and the applicant's native language) of the country or countries of research, and the specific measures to be taken to overcome any anticipated language barriers; and
- (4) The applicant's ability to conduct research in a foreign cultural context, as evidenced by the applicant's references or previous overseas experience, or both.
- (d) *Priorities*. (1) The Secretary determines the extent to which the application responds to any priority that the Secretary establishes for the selection of fellows in any fiscal year. The Secretary announces any priorities in an application notice published in the Federal Register.
- (2) Priorities may relate to certain world areas, countries, academic disciplines, languages, topics, or combinations of any of these categories. For example, the Secretary may establish a priority for—
- (i) A specific geographic area or country, such as the Caribbean or Poland;
- (ii) An academic discipline, such as economics or political science;
- (iii) A language, such as Tajik or Indonesian; or
- (iv) A topic, such as public health issues or the environment. (Approved by the Office of Management and Budget under control number 1840–0005)

(Authority: 22 U.S.C. 2452(b)(6), 2456(a)(2))

[63 FR 46361, Aug. 31, 1998, as amended at 70 FR 13376, Mar. 21, 2005]

### § 662.22 How does the J. William Fulbright Foreign Scholarship Board select fellows?

- (a) The J. William Fulbright Foreign Scholarship Board selects fellows on the basis of the Secretary's recommendations and the information described in §662.20(e) from binational commissions or United States diplomatic missions.
- (b) No applicant for a fellowship may be awarded more than one graduate fellowship under the Fulbright-Hays Act from appropriations for a given fiscal year.

(Authority: 22 U.S.C. 2452(b)(6), 2456(a)(1))

Subpart D—Post-award Requirements for Institutions

### § 662.30 What are an institution's responsibilities after the award of a grant?

- (a) An institution to which the Secretary awards a grant under this part is responsible for administering the grant in accordance with the regulations described in §662.6.
- (b) The institution is responsible for processing individual applications for fellowships in accordance with procedures described in §662.11.
- (c) The institution is responsible for disbursing funds in accordance with procedures described in §662.4.
- (d) The Secretary awards the institution an administrative allowance of \$100 for each fellowship listed in the grant award document.

(Authority: 22 U.S.C. 2452(b)(6), 2454(e)(1))

# Subpart E—Post-award Requirements for Fellows § 662.41 What are a fellow's responsibilities after the award of a fellowship?

As a condition of retaining a fellowship, a fellow shall—

- (a) Maintain satisfactory progress in the conduct of his or her research;
- (b) Devote full time to research on the approved topic;
- (c) Not engage in unauthorized income-producing activities during the period of the fellowship; and
- (d) Remain a student in good standing with the grantee institution during the period of the fellowship. (Authority: 22 U.S.C. 2452(b)(6))

#### § 662.42 How may a fellowship be revoked?

- (a) The fellowship may be revoked only by the J. William Fulbright Foreign Scholarship Board upon the recommendation of the Secretary.
- (b) The Secretary may recommend a revocation of a fellowship on the basis of—
- (1) The fellow's failure to meet any of the conditions in §662.41; or
- (2) Any violation of the standards of conduct adopted by the J. William Fulbright Foreign Scholarship Board.
- (Authority: 22 U.S.C. 2452(b)(6), 2456, and Policy Statements of the J. William Fulbright Foreign Scholarship Board, 1990)

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is **1840-0005**. The time required to complete this information collection is estimated to average 24 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, Washington, D.C. 20202-4651. If you have comments or concerns regarding the status of your individual submission of this form, write directly to DDRA Program IFLE/OPE. Department of Education, 400 Maryland Avenue, SW (1990 K St, NW, 6<sup>th</sup> Floor), Washington, DC 20202-4651.

#### **Guidelines for Applicant Institutions**

There are two parts to this application. The first part contains information and forms for the institution of higher education (IHE). The second part contains instructions and forms for the student applicants.

Although the DDRA program funds student research, the legal applicant is the institution, and if a grant is awarded, the institution is the legal grantee. Students are not able to apply for this funding independently. In order to apply for this program, it is necessary for the student and the institution to coordinate their activities and keep each other informed.

Applications must be submitted electronically via the U.S. Department of Education's (US/ED) G5 e-Application system. This system may be accessed at <a href="www.G5.gov">www.G5.gov</a>. Both the student and the institution must complete their portions of the application correctly and submit via G5 e-Application. Students and Project Directors should read through the following guidelines and the information in this application package very carefully, especially the Closing Date Notice, and follow the instructions in order to submit a timely and complete application to US/ED.

The institution must appoint a Project Director. The Project Director is the individual at the institution who will actually submit the application to US/ED, and who will administer the grant and disburse funds, should any students at the university receive funding. This individual is usually someone in the office of the Dean of Graduate Studies or Student Affairs. It is not recommended that a student's advisor serve as the Project Director. There can be only one Project Director at an institution, and that person administers the grant and serves as the point of contact for all of that institution's fellows, regardless of research topic or discipline.

#### Responsibilities of the Institution's Project Director

The Project Director at the applying college or university is responsible for:

- a) Registering as the Project Director for his/her university in the G5 e-Application system. In order to obtain a password and login information, he/she must first send his/her contact information to ED staff by the date specified in the Closing Date Notice. If he/she fails to do this, he/she may not be able to access G5 e-Application and will not be able to transmit his/her institution's application package.
- b) Completing Item #22:
  - 1) Screen individual student applications in accordance with the institution's own technical and academic criteria and the program's eligibility requirements;
  - 2) Indicate institutional endorsement of each student application by checking the box adjacent to each student's name;
  - 3) Indicate, for each student, whether Human Subjects Research Clearance (IRB) will be required by checking "Yes" or "No". Be sure to include the required narrative for each project involving human subjects as indicated in question #3 on the ED Supplemental Information for SF 424.
- c) Transmitting in a single submission all recommended individual Doctoral Dissertation Research Abroad applications, including the *Application for Federal Assistance* (SF 424), the *ED Supplemental Information for SF 424*, the *Assurances -- Non-Construction Programs* (ED Form 424B) and the *Certification Regarding Lobbying; Debarment, Suspension, and Other Responsibility Matters; and Drug-Free Workplace Requirements* to the U.S. Department of Education in accordance with the guidelines published in the Closing Date Notice for new awards and contained in the transmittal instructions.
- d) Announcing the preliminary results of the competition to individual applicants (i.e., candidate status, alternate status, non-selection). An executed copy of the Grant Award Notification between the U.S. Department of

Education (ED) and the higher education institution listing fellowship holders and award benefits will constitute the official announcement of the award. The announcement will be made in September.

e) Administering the grant and disbursing funds.

#### Supplemental Instructions for the Application for Federal Assistance (SF 424)

The Federal forms that accompany this electronic submission MUST be filled out on paper and faxed to ED. Within 3 business days of the closing date, please print and complete the forms listed below, sign them, and fax them to US/ED's Application Control Center at (202) 245-6272.

The instructions for the items given below are to be used in conjunction with the general instructions contained in this application packet.

Item 1. Select *Application*.

 Item 2.
 Select New.

 Items 3 – 5a.
 Enter N/A.

 Item 5b.
 Enter 84.022A

**Item 6** Enter date received by state (if appropriate). Otherwise list date of submission.

Item 7 Enter N/A

**Item 8**. **A.** Enter the legal name of the institution of higher education.

**B.** Enter the tax identification number as assigned by the Internal Revenue Service.

C. Provide the institution's Data Universal Numbering System (D-U-N-S) number. You can obtain your D-U-N-S number at no charge by calling 1-866-705-5711 or by completing a D-U-N-S Number Request Form. The form can be obtained via the Internet at the following URL: http://www.dnb.com

**D.** Enter the address of the institution of higher education.

E. Enter the organizational unit that will undertake the funding activity

**F.** Enter the name of the Project Director. The Project Director should be the higher education institution's representative who will be responsible for the daily administration of the program while the researcher is overseas. Enter the title, organizational affiliation, telephone and fax numbers and email address of the Project Director.

**Item 9**. Select H – Public/State Controlled IHE or O – Private IHE.

**Item 10**. Enter *US Department of Education*.

**Item 11**. Enter 84.022A, Fulbright-Hays Doctoral Dissertation Research Abroad Program.

**Item 12**. Enter **ED-GRANTS-050213-001**, Fulbright-Hays Doctoral Dissertation Research Abroad Program.

Item 13. Enter N/A.

Item 14. Enter N/A.

**Item 15**. Enter Fulbright-Hays Doctoral Dissertation Research Abroad Program.

**Item 16.** A. Enter the congressional district for the applicant institution; B. Enter N/A.

Item 17. A. Enter 10/1/2013.

B. Enter 03/31/2015.

**Item 18.** Enter the total amount requested (the sum of all the student applicants' requests).

**Item 19.** Check C. Program is not covered by E.O. 12372.

**Item 20.** Self-explanatory.

**Item 21.** Self-explanatory – to be completed by institution's Authorized Representative.

Item 22. This item will populate automatically when student applicants submit their applications in G5 e-Application. To view a student's application, click on that student's name.

#### The Following Forms can be Found in the Standard Instructions and Forms Section:

#### ED Supplemental Information for SF 424

#### Assurances -- Non-Construction Programs (SF 424B)

One copy of this form must be completed by the authorized representative of the college or university and accompany SF 424.

### Certification Regarding Lobbying; Debarment, Suspension, and Other Responsibility Matters; and Drug-Free Workplace Requirements (ED Form 80-0013)

One copy of this form must be completed by the authorized representative of the college or university and accompany SF 424.

#### Disclosure of Lobbying Activities (SF-LLL)

One copy of this form must be completed by the authorized representative of the college or university and accompany SF 424, if applicable.

A complete institutional application to the Doctoral Dissertation Research Abroad Program is the sum of all completed student applications, plus the Application for Federal Assistance (SF 424), the ED Supplement to the 424, the Assurances -- Non-Construction Programs (SF 424B); Certification Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; and Disclosure of Lobbying Activities (if applicable). University Project Directors must submit the application to the U.S. Department of Education via G5 e-Application, in accordance with the guidelines published in the Closing Date Notice. Failure to meet the deadline published in the Federal Register will mean rejection of the application without consideration. There is no appeal of this requirement.

### **Frequently Asked Questions for Project Directors**

#### Q1. Who is the Project Director?

A1. The Project Director (PD) is the individual at the institution who will actually submit the application to the U.S. Department of Education (US/ED). This individual will administer the grant and disburse funds, should any students at the university receive the DDRA fellowship. This individual is usually someone in the office of the Dean of Graduate Studies or Student Affairs. It is not recommended that a student's advisor serve as the Project Director. There can be only one Project Director at an institution to serve as the point of contact for all of that institution's fellows, regardless of research topic or discipline.

### Q2. How does a new Project Director register in the G5 e-Application system?

A2. The registration process for the Project Director involves three (3) steps. First, check the enclosed list of previously registered Project Directors to see if it includes one's institution. If there is no listing, or the listed information needs to be changed, please request access to the DDRA Project Director screens from the US/ED program officer at <a href="mailto:ddra@ed.gov">ddra@ed.gov</a>. Second, request access to the G5 e-Application system by submitting the Project Director's name, institution, and email address to the program officer at <a href="mailto:ddra@ed.gov">ddra@ed.gov</a> by the date specified in the published Notice Inviting Applications. Third, register in the G5 e-Application system at <a href="https://www.G5.gov">www.G5.gov</a> once the US/ED program officer informs the Project Director that access has been granted. <a href="mailto:Current PDs">Current PDs do not need to register again</a>. If you have forgotten your G5 log in information, please use the link on the website at <a href="https://www.G5.gov">www.G5.gov</a>.

#### Q3. How many sections are there to the application, and who completes which section?

A3. There are two major sections to the G5 e-application. The first section contains information and forms for the IHE. The second section contains instructions and forms for the student applicants. Upon completion of the individual component of the application, the student submits all portions of the application (narrative, curriculum vitae, individual budget, notifications to his/her references, transcripts, etc.) to the Project Director. The Project Director is responsible for reviewing all individual student applications from his/her institution and submitting them in a single student section, along with the required institutional components, to the US/ED. Only the Project Director can submit an application to US/ED.

#### Q4. How does the Project Director review and approve the student applications for submission to US/ED?

A4. The Project Director is able to review only applications that have been submitted to him/her by individual student applicants enrolled at his/her institution. The Project Director should consult "Item 22" on Application for Federal Assistance (SF 424) to see which students have submitted applications. To review an individual application, the Project Director should click on the student's name. This will link the Project Director to that individual's application. After the review of all individual applications for accuracy and eligibility has been completed, the Project Director indicates his/her approval of each application by ensuring that the acceptance box next to each name is checked. **Only Project Director-approved applications are included in the institutional submission to US/ED.** 

#### Q5. How are the student's Graduate and Language Reference Forms submitted?

A5: The student applicant will submit, via the G5 e-Application system, the referees' names and email addresses. The referees will then receive the emails, which are automatically generated from the G5 e-Application system, with directions for completing and returning the reference forms. The emails will contain links to the forms and Personal Identify Numbers (PINs) for the referees. The referees must click on the links and provide the PINs in order to be linked to the student's reference forms. Once the forms are completed, the referees click the submit buttons. After the referees hit the submit buttons, the forms have been submitted to the Project Director. Both the student and the Project Director can monitor the submission of, but cannot review, the reference forms. Students and Project Directors can monitor the submission of the reference forms by

reviewing the "form status". The status will state "complete" once the referees have completed and submitted their references. All referee forms must be submitted before the Project Director submits the institutional application to US/ED. We suggest that the Project Director set internal deadlines for the submission of references and remind students to inform their referees of this deadline.

#### Q6. How does the student applicant upload their transcripts?

A6. Student applicants submit all transcripts electronically, through the G5 e-application. Students scan all transcripts and upload in the application as a single document. Transcripts obtained electronically must have all security features disabled. If the security features are not disabled, US/ED will not have access to review the document and it will not be included in the review.

#### Q7. Should transcripts be the "official" transcript or are unofficial transcripts allowed?

A7. It is recommended that official transcripts are submitted whenever possible. Unofficial transcripts should contain the student's name, University ID number, and institution on the document.

#### Q8. Should student applicants include their undergraduate transcripts?

A8. Yes, undergraduate transcripts should be included if they help to demonstrate the applicant's language and area studies academic background.

# Q9. Can the Project Director schedule his/her own institutional deadline to meet the closing date published in the <u>Federal Register</u>?

A9. Yes. The Project Director should set an institutional deadline for students and referees so there is time to review the individual application material, solve technical problems, and submit a complete institutional application before the official program deadline as specified in the <u>Federal Register</u>.

# Q10. If a Project Director has access to the DDRA Program screens, does the Project Director also have access to the Faculty Research Abroad (FRA) Program and other IFLE program screens?

A10. No. Each International Foreign Language Education (IFLE) program is separate and distinct in the G5 e-Application. The Project Director must register for each program in accordance with the instructions outlined in the Closing Date Notice published in the Federal Register. If a Project Director is considering submitting an application for other programs, IFLE strongly suggests that potential Project Directors registers in the e-Application system as soon as possible.

#### Q11. What signatures are required for the G5 e-Application?

A11. The Project Director is responsible for submitting all documents electronically, including the Application for Federal Assistance (SF 424), and all necessary assurances and certifications. Within three days after submitting the electronic application, the Project Director MUST obtain the institution's Authorizing Representative's signature on the SF 424 and fax it to the Application Control Center. The application's identifier number (P022A1300XX) must be noted in the upper right hand corner of the faxed signature page of the SF 424. US/ED may request original signatures on other forms at a later date.

#### Q12. What happens after a Project Director submits the G5 e-Application?

A12. After the Project Director submits the application, the entire application (both the student and institutional sections) is sent electronically to US/ED. The Project Director will receive a confirmation email. This message will include the application's identifier number (P022A1300XX). The Project Director knows that the submission is successfully transmitted once the Project Director the email confirmation.

#### Q13. When does the Project Director officially submit the e-Application?

A13. The Project Director must submit the e-Application BEFORE 4:30pm EST on the published closing date. The Project Director should first ensure that 1) all institutional and individual student applications including graduate and foreign language references are completed and included in the application; 3) each individual student application has been approved by the checked box next to the applicant's name on "Item 22" in the Application for Federal Assistance (SF 424).

#### Q14. When is the Project Director notified about the status of the student applications?

A14. The review process for DDRA Program applications is lengthy and multi-faceted, so announcement times may vary. Institutional status will be announced in September. It is the responsibility of the institution to inform students of their status. US/ED does not inform students directly.

#### Q15. What is the next step if an institution is awarded a DDRA grant?

A15. If an institution receives a DDRA grant, the Project Director will receive a handbook with instructions on how to administer the grant. Technical assistance is also provided in a webinar which will be held on the date announced in the award letter. The handbook and webinar are intended for both Project Director and fellows.

#### Q16. Who is responsible for submitting the Human Subjects Clearance (IRB) narrative?

A16. The Project Director is responsible for collecting all IRB narratives from students who <u>may</u> need IRB approval for their research project. All student narratives must be combined into one document and uploaded in the ED Supplemental Form to the SF 424. The narrative questions that must be answered are found in the directions for the ED Supplemental Form to the SF 424.

## Q17. Whom should the Project Director contact in the event of technical problems with G5 e-application (e.g., problems accessing a form)?

A17. Technical difficulties and inquiries regarding the G5 e-Application system must be directed to the G5 e-Application Help Desk at 1-888-336-8930 (TTY: 1-866-697-2696, local 202-401-8363). Assistance is available Monday-Friday from 8:00 a.m. to 6:00 p.m. EST.

### Q18. If the Project Director has a question specific to the DDRA program (e.g., eligibility requirements) whom should he/she contact?

A18. Inquiries about the DDRA Fellowship Program must be direct to the US/ED DDRA program officer, Dr. Stephanie McKissic, at stephanie.mckissic@ed.gov or by phone at (202) 502-7589.

### **Institutional DDRA Project Directors**

Student applicants must contact the Project Director **BEFORE** the application process as Project Directors may have changed.

University	First Name	Last Name	Email
American University	Catherine	Kirby	ckirby@american.edu
Arizona State University	Janet	Burke	jmburke@asu.edu
Arizona State University	Tamara	Deuser	proposalandnegotiation@asu.edu
Auburn University	George	Crandell	crandgw@auburn.edu
Ball State University	James	Coffin	jcoffin@bsu.edu
Boston University	W Jeffrey	Hughes	hughes@bu.edu
Brandeis University	Brooke	Harrison	Bharrison@brandeis.edu
Brandeis University	Gregory	Freeze	freeze@brandeis.edu
Brigham Young University	Gary	Hooper	Gary hooper@byu.edu
Brown University	John	Tyler	john tyler@brown.edu
California Institute of Integral			, _, _
Studies	Karen	Villanueva	kvillanueva@ciis.edu
Case Western Reserve University	Denise	Douglas	denise.douglas@case.edu
Catholic University	John	Convey	convey@cua.edu
City University of New York	Matthew	Schoengood	MSchoengood@gc.cuny.edu
Clark University	Nancy	Budwig	Nbudwig@clarku.edu
Clemson University	Bruce	Rafert	jbruce@clemson.edu
College of William and Mary	Cynthia	Corbett	cacorb@wm.edu
Colorado State University	Marilyn	Morrissey	marilyn.morrissey@colostate.edu
Columbia University	Kerry	Gluckmann	kag1@columbia.edu
Cornell University	Gil	Levine	fulbright@einaudi.cornell.edu
Duke University	Nancy	Robbins	nhare@duke.edu
Emory University	Cathryn	Johnson	cjohns@emory.edu
Florida International University	Arie	Spirgel	aspirgel@fiu.edu
Florida State University	Anne Marie	West	amwest@fsu.edu
George Mason University	Deirdre	Moloney	dmoloney@gmu.edu
George Mason University	Kathryn	Agoston	kagoston@gmu.edu
George Washington University	Geri	Rypkema	rypkema@gwu.edu
Georgetown University	Maria	Snyder	mfs22@georgetown.edu
Georgia State University	Amanda	Roshan-Rawaan	amandarr@gsu.edu
Graduate Theological Union	Maureen	Maloney	maloney@gtu.edu
Harvard University	Cynthia	Verba	cverba@fas.harvard.edu
Howard University	Constance	Ellison	cellison@howard.edu
Indiana University	Denise	Gardiner	dagardin@iu.edu
Johns Hopkins University	Michael	Alexander	malexander@jhu.edu
Kent State University	Sarah	Hull	shull14@kent.edu
Lehigh University	Sheri	Bushner	sab2@lehigh.edu
Louisiana State University	Harald	Leder	hleder@lsu.edu
Massachusetts Institute of			
Technology	Kimberly	Benard	benard@mit.edu
Michigan State University	Roger	Bresnahan	bresnaha@msu.edu
New School University	Patty	Bachorz	bachorzp@newschool.edu
New York University	Kathleen	Talvacchia	kathleen.talvacchia@nyu.edu
North Carolina State University	Matt	Ronning	sps@ncsu.edu
Northeastern University	Lawrence	Barnett	oraf@neu.edu
Northeastern University	Maureen	Kelleher	m.kelleher@neu.edu
Northwestern University	Stephen	Hill	s-hill@northwestern.edu
Ohio State University	Joanna	Kukielka-Blaser	kukielka-blaser.1@osu.edu
Ohio University	Kelli	Cyrus	cyrusk@ohio.edu
Old Dominion University	Betsy	Foushee	efoushee@odu.edu

Research Foundation			
Pennsylvania State University	Barbara	Struble	bqs3@psu.edu
Portland State University	Barbara	Struble	bqs3@psu.edu
Princeton Theological Seminary	James	Armstrong	james.armstrong@ptsem.edu
Princeton University	David	Redman	dnredman@princeton.edu
Purdue University	Mohan	Dutta	mdutta@purdue.edu
Rensselaer Polytechnic Institute	Mecaila	Smith	SMITHM12@rpi.edu
Rice University	Arnaud	Chevallier	chevallier@rice.edu
Rice University	Robert	Meyer	rjm6@rice.edu
Rutgers University	Harvey	Waterman	waterman@rci.Rutgers.edu
San Diego State University	Dena	Plemmons	awards@foundation.sdsu.edu
Southern Illinois University	Prudence	Rice	orda@siu.edu
Southern Methodist University	Alicia	Brossette	abrosset@smu.edu
Stanford University	John	Pearson	john.pearson@stanford.edu
SUNY Albany	James	Pasquill	jpasquill@uamail.albany.edu
SUNY Binghamton	Lisa	Gilroy	Igilroy@binghamton.edu
SUNY Buffalo	Mark	Ashwill	ashwill@buffalo.edu
SUNY Stony Brook	William	Arens	william.arens@stonybrook.edu
· · · · · · · · · · · · · · · · · · ·	Scott		
SUNY Syracuse Syracuse University	Stuart	Shannon Taub	sshannon@esf.edu staub@syr.edu
· ·			<u> </u>
Temple University	Richard	Englert	renglert@temple.edu
Texas Christian University	Don	Coerver	d.coerver@tcu.edu
Texas Tech University	Jane	Bell	jane.bell@ttu.edu
Tufts University	Paul	Murphy	Paul.murphy@tufts.edu
Tulane University	Molly	Travis	matravis@tulane.edu
University Nevada, Reno	Marsha	Read	Read@unr.edu
University of Arizona	Georgia	Ehlers	GEhlers@grad.arizona.edu
University of Arkansas	Rosemary	Ruff	rsspinfo@uark.edu
University of California, Berkeley	Solomon	Lefler	lefler@berkeley.edu
University of California, Davis	Deborah	McCook	dlmccook@ucdavis.edu
University of California, Irvine	Sharon	Metzger	smetzger@uci.edu
University of California, Los			
Angeles	Carlos	Grijalva	uclafellowship@gdnet.ucla.edu
University of California, Riverside	Joseph	Childers	graddean@ucr.edu
University of California, San			
Diego	Zoe	Ziliak Michel	zziliakmichel@ucsd.edu
University of California, San			
Francisco	Wendy	Winkler	wendy.winkler@ucsf.edu
University of California, Santa			
Barbara	Gale	Morrison	morrisonpi@graddiv.ucsb.edu
University of California, Santa			1 00
Cruz	Suzanne	Ziegler	sziegler@ucsc.edu
University of Chicago	Brooke	Noonan	brookec@uchicago.edu
University of Cincinnati	Kurt	Olausen	Kurt.olausen@uc.edu
University of Colorado, Boulder	Stefan	Reiss	stefan.reiss@colorado.edu
University of Colorado, Denver	Brian	Meara	Brian.Meara@ucdenver.edu
University of Connecticut	Elizabeth	Mahan	Elizabeth.Mahan@uconn.edu
University of Delaware	Trudy	Riley	udelaware-awards@udel.edu
University of Denver	Lynn	Backstrom Funk	Ibackstr@du.edu
University of Florida	Brian	Prindle	prindle@ufl.edu
University of Georgia	Julie	Coffield	coffield@uga.edu
University of Hawaii	Kenneth	Tokuno	tokuno@hawaii.edu
University of Illinois		Robinson	
· · · · · · · · · · · · · · · · · · ·	Sharee		sdwax@illinois.edu
University of Illinois at Chicago	Marie	Khan	mkhanj@uic.edu
University of Iowa	John	Keller	dsp-contracts@uiowa.edu
University of Kansas	Charles	Bankart	cbankart@ku.edu
University of Kentucky	Patricia	Whitlow	pat.whitlow@uky.edu

University of Louisville	Patricia	Condon	patricia.condon@louisville.edu
University of Maryland	Antoinette	Lawson	oraa@umd.edu
University of Massachusetts,			
Amherst	Jennifer	Donais	ogca@research.umass.edu
University of Memphis	Peggy	Vanco	pvanco@memphis.edu
University of Miami	Koren	Bedeau	k.bedeau@miami.edu
University of Michigan	Kenneth	Kollman	II-PROJECT-DIRECTOR@umich.edu
University of Minnesota	Kevin	Mckoskey	awards@umn.edu
University of Missouri	Suzanne	Ortega	OrtegaS@Missouri.edu
University of New Mexico	gary	Harrison	garyh@unm.edu
University of North Carolina,			
Chapel Hill	Niklaus	Steiner	nsteiner@email.unc.edu
University of North Dakota	Barry	Milavetz	barry.milavetz@research.und.edu
University of Notre Dame	Richard	Hilliard	hilliard.1@nd.edu
University of Oklahoma	Janis	Paul	jpaul@ou.edu
University of Oregon	Kathleen	Poole	kpoole@uoregon.edu
University of Pennsylvania	Judith	Tjiattas	judithr@sas.upenn.edu
University of Pennsylvania	Tracey	Turner	tturner@sas.upenn.edu
University of Pittsburgh	Lawrence	Feick	feick@pitt.edu
University of San Francisco	Dan	McPherson	mcpherson@usfca.edu
University of South Carolina	Richard	Etheredge	steven@gwm.sc.edu
University of South Florida	Richard	Pollenz	pollenz@cas.usf.edu
University of Southern California	Kathleen	Speer	kspeer@college.usc.edu
University of Tennessee	Kay	Reed	kayreed@utk.edu
University of Texas, Austin	Mary Alice	Davila	madavila@mail.utexas.edu
University of Texas, Arlington	Jeremy	Forsberg	ogcs@uta.edu
University of Virginia	Edward	Barnaby	etb8b@virginia.edu
University of Washington	Helene	Obradovich	helene@u.washington.edu
University of Wisconsin	Erin	Crawley	fellow@intl-institute.wisc.edu
University of Wisconsin,			
Milwaukee	Terence	Miller	tmiller@uwm.edu
Utah State University	Nick	Eastmond	nick.eastmond@usu.edu
Vanderbilt University	Donald	Brown	donald.c.brown.1@Vanderbilt.Edu
Virginia Commonwealth			
University	Susan	Robb	sarobb@vcu.edu
Virginia Polytechnic Institute	S.K.	DeDatta	dedatta@vt.edu
Washington University	David	Browman	dlbrowma@artsci.wustl.edu
Wayne State University	Kelli	Dixon	ab6179@wayne.edu
Wesleyan University	Carolyn	Kaufman	ckaufman@wesleyan.edu
Western Michigan University	Wil	Emmert	wil.emmert@wmich.edu
Yale University	Robert	Harper-Mangels	robert.harper-mangels@yale.edu

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is **1840-0005** The time required to complete this information collection is estimated to average 25 hours for the student respondent and 25 hours for the project director per response, including time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimates or suggestions for improving this form, please write to: U.S. Department of Education, Washington, DC 20202-4651. If you have comments or concerns regarding the status of your individual submission of this form, write directly to: DDRA Program, IEPS/OPE, U.S. Department of Education, 400 Maryland Avenue, S.W., (1990 K Street, N.W. 6<sup>th</sup> Floor) Washington, DC 20202-4651.

#### **Guidelines for Students**

There are two parts to this application. The first section contains information and forms for the institution of higher education (IHE). The second section contains instructions and forms for the student applicants.

Although the DDRA program funds student research, the legal applicant is the institution, and if a grant is awarded, the institution is the legal grantee. Students are not able to apply for this funding independently. In order to apply for this program, it is necessary for the student and the institution to coordinate their activities and keep each other informed.

Applications must be submitted electronically via the U.S. Department of Education's (US/ED) G5 e-Application system. This system may be accessed at www.G5.gov. Both the student and the institution must complete their portions of the application correctly and submit via G5 e-Application. Students and Project Directors should read through the following guidelines and the information in this application package very carefully, especially the Closing Date Notice, and follow the instructions in order to submit a timely and complete application to US/ED.

The institution must appoint a Project Director. The Project Director is the individual at the university who will actually submit the application to US/ED, and who will administer the grant and disburse funds, should any students at the university receive funding. This person is usually someone in the office of the Dean of Graduate Studies or Student Affairs. It is not recommended that a student's advisor serve as the Project Director. There can be only one Project Director at an institution, and that person administers the grant and serves as the point of contact for all of that institution's fellows, regardless of research topic or discipline.

#### A student should:

- Read the entire application package carefully.
- Contact his/her university's Project Director as soon as possible, to inform him/her that he/she will be submitting an application, and to inquire about any internal deadlines.
- Register in the G5 e-Application system as a student, and construct his/her application.
- Be sure to initiate the e-mails to his/her references as early as possible, to avoid last minute delays. Remind his/her reference writer of the internal deadline set by the institutional project director.
- Submit his/her completed application via the G5 e-Application system well in advance of the Closing Date. (It is a good idea for a student to contact the Project Director and let him/her know when the student has submitted his/her application)
- Confer with the Project Director before the Closing Date to ensure that all portions of the application were submitted successfully, and no changes need to be made.
- Prepare the required narrative, if the project involves Human Subjects Research and/or requires IRB approval, and submit the narrative to the Project Director well in advance of the Closing Date. The instructions regarding Human Subjects Research are located in the Instructions for ED Supplemental Information for SF 424. The student must then submit their narrative to their Project Director for uploading into the application.
- Up-load a scanned copy of their transcript into G5 e-application.

#### **Program Objective**

Section 102(b)(6) of the Mutual Education and Cultural Exchange Act of 1961 (Fulbright-Hays Act) has as its objective the promotion, improvement, and development of modern foreign languages and area studies throughout the educational structure of the United States. To help accomplish this objective the Doctoral Dissertation Research Abroad Program provides opportunities for scholars to conduct research overseas in the field of modern foreign languages and area studies.

For the purpose of these programs, area studies is defined as a program of comprehensive study of the aspects of a society or societies, including the study of their geography, history, culture, economy, politics, international relations, and languages.

Research overseas means research outside the United States and its territories. Research will not be funded in countries in which the United States has no diplomatic representation or which are specifically excluded in the Notice of Closing Date contained in this application.

For a complete description of the program, consult the US Department of Education Regulations 34 Code of Federal Regulations Part 662.

#### **Eligibility Requirements**

#### To be eligible to receive a fellowship under the DDRA Program, a student must:

- 1. (a) Be a citizen of the United States; or
  - (b) Be a permanent resident of the United States;
- 2. Be a graduate student in good standing at an eligible institution of higher education and who, when the fellowship period begins, has been admitted to candidacy in a doctoral degree program in modern foreign languages and area studies at that institution;
- 3. Plan a teaching career in the United States upon graduation, or who plan to apply language skills (in world areas vital to US national security) and knowledge of these countries in the fields of government, international development, and various professions; and
- 4. Possess adequate skills in the foreign language(s) necessary to carry out the dissertation research project.

Note: Students may not accept certain grants (IIE, Boren, IREX, Japan Foundation) in the same fiscal year that they receive a US/ED Fulbright-Hays grant. If a student accepts both a US/ED Fulbright-Hays grant and another grant, it may be necessary for the student to choose which grant to accept, or to negotiate a cost-sharing arrangement, so that funding is not duplicated. Student applicants who have received support for more than 6 months under the DDRA Program are not eligible to re-apply.

#### **Instructions for Students - Fulbright-Hays Application Form**

In order that each individual applicant is properly evaluated in accordance with the published procedures, eligibility requirements, and evaluation criteria, each individual scholar applying for the Fulbright-Hays fellowship must complete this electronic form.

- **Item 1-4:** Self-explanatory
- Item 5: Select the name of the institution through which you are applying. If your institution does not appear on this drop-down list, please contact your institution's grants/sponsored research office to inquire about a project director. Only institutions whose project directors have registered in G5 e-Application appear on this list. Please ensure that you choose the right campus (i.e., University of Texas at Austin, University of Texas at Brownsville, etc.). A student planning to conduct dissertation research must apply to the DDRA Program through the university in which he/she or she is enrolled in a doctoral program.
- **Items 6-7:** Self-explanatory
- **Item 8:** Indicate all countries in which you plan to conduct research with Fulbright-Hays Doctoral Dissertation Research Abroad support. If successful, these are the only countries you will be approved to conduct research in.
- Item 9: Indicate all foreign languages to be used in your research during the fellowship period and obtain a completed *Fulbright-Hays Foreign Language Reference Form* for each foreign language listed. If English or your native tongue is the language of research, you must list it here, but a Foreign Language Reference Form is not required. Though a reference form is not required, the G5 system mandates a form. For English only, please list yourself as the reference writer and submit the form. This will alleviate any technical problems with the form. Please see criteria 3 under Qualifications of the Applicant.

- **Item 10:** Indicate the start and end dates for conducting your research under the fellowship. Activation of the award may be as early as October 15, 2013 students, provided: a US/ED Grant Award Notification has been executed; travel has been approved; the appropriate research clearances and research visas have been secured by the individual scholar; and, if requested, human subject research clearance has been obtained. All research and travel must be completed by March 31, 2015. Graduate students may receive fellowships for a period of 6 to 12 months for full time doctoral dissertation research abroad. Fellowships are not renewable.
- **Item 11:** Indicate the date on which you were or will be advanced to candidacy for a doctoral degree. An applicant must be advanced to candidacy by the time his/her or her overseas research begins, but not necessarily at the time of application.

<u>Note:</u> Fellowships are from 6 to 12 *consecutive* months in the field. Fellows may not leave their research sites for the duration of the research period, except in cases of family or medical emergencies. Fellows have 18 months in which to schedule their research periods. Family events such as weddings or anniversaries, or academic events such as conferences or presentations, should be taken into account by the fellow when planning his or her research.

- Item 12: Self-explanatory
- **Item 13:** If resident alien, provide Alien Registration Number, country of birth, and previous citizenship. Please refer to the program's citizenship requirements in the program-specific regulations.
- **Item 14:** Self-explanatory
- Item 15: Note that doctoral candidates planning careers other than teaching are eligible to apply.
- **Item 16:** Please list title of research project. Remember to take care when choosing the title. If successful, the review process is multi-layered.
- **Item 17:** Provide an abstract of no more than 120 words describing your proposed research including a summary of the research to be conducted as well as institutional and individual affiliations. Remember to take care when writing the abstract. If successful, the review process is multi-layered.
- **Item 18:** Self-explanatory
- **Item 19:** Indicate previous relevant foreign travel, period of stay and purpose (e.g., participation in an overseas language program) and specify all previous travel to the proposed host countries listed in item 8.
- **Items 20-21:** Indicate whether you are in default on any U.S. Department of Education Student Financial Assistance loan, U.S. Department of Education grant or any other Federal loan.
- **Item 22:** Indicate all previously held fellowships.
- **Item 23:** Indicate current applications for other fellowships.
- **Item 24:** Enter the name, address and telephone number of the physician or certified nurse practitioner, and the date of recent physical exam. This is to ensure that the applicant is physically capable of carrying out the proposed research project. Do not send a separate medical evaluation. Actual signatures may be requested at a later date.
- Item 25a: List, starting with the airport closest to your address, all points of international travel related to your proposed project departure from and return to the United States (e.g., Philadelphia, PA - London, UK – Johannesburg, SA - London, UK - Philadelphia, PA). The itinerary should not exceed a 12-month period and must include all countries for which a maintenance allowance is requested. All international travel estimates should be based on commercial high season rates for jet economy travel on U.S. flag carriers and should also include baggage fees. If approved in advance, international travel by automobile, train, or ship may be authorized in certain cases. The cost of travel by surface carrier may not exceed the cost of jet economy travel via the most direct route for the itinerary listed in the Grant Award Notification. Travel by sea also must be on a U.S. flag carrier. You will not be authorized to travel on grant funds until you have secured the appropriate "research" visas, research permission, and, if necessary, the appropriate affiliation with a host country institution. (Note: please see Important Note Regarding Host Country Research Clearances). All travel paid for with grant funds must be in accordance with the Fly America Act (International Air Transportation Fair Competitive Practices Act of 1974, PL 93-624, PL 96-192). This means that travel must be on American carriers wherever available. regardless of cost. In cases of "code-share" tickets between an American and an overseas carrier, the name and flight number of the American carrier, rather than those of the overseas carrier, must be displayed on the airline tickets. Travel must be approved by the US/ED program officer before a fellow departs. No support for dependents' travel is provided.
- Item 25b: Enter the maintenance stipend for all countries of research utilizing the information provided in the link to Fulbright-Hays Maintenance Allowances. Allowances from other than personal sources (e.g. fellowships)

which duplicate benefits received under this program will be deducted from the fellowship. Adjustments will also be made in cases where other organizations assume all or part of a fellow's maintenance costs.

- **Item 25c:** A dependent means any of the following individuals who will accompany you to your research site and for whom you will be providing over 50 percent support during the entire overseas research period:
  - a. Spouse of program participant; The word "marriage" means only a legal union between one man and one woman as husband and wife, and the word "spouse" refers only to a person of the opposite sex who is a husband or a wife. These definitions are found in 1 USC Section 7, commonly known as the "Defense of Marriage Act" and apply to all federal programs; or
  - **b.** Children unmarried and under 21 years of age.

Note: Dependents must accompany the researcher for the entire research period in order to be eligible for an allowance. Enter the dependents' allowance by referring to the **Fulbright-Hays Maintenance Allowances**.

- Item 25d: Compute and justify in detail your needs for this allowance that may include expenses such as books, copying, hard drives, travel within host countries, affiliation fees, etc. This allowance does not cover any visa fees, passport expenses, including photographs, equipment costs (laptops, digital cameras, recorders, etc.), research or transcription assistants, language tutoring, tuition or fees that might be required by the American grantee institution, or medical expenses such as malaria pills or vaccinations.
- Item 25e: The Department of Education will include sufficient funds to enable an institution to purchase basic health and accident insurance for each student fellowship recipient only. The university may use its discretion whether to use a university-contracted carrier or a student-contracted carrier. Compute the amount requested utilizing group rates of university-contracted carriers for coverage overseas. The amount requested for insurance must be prorated to reflect only the student's time in the field.
- **Item 25f:** Fixed \$100 administrative fee paid to institution.
- **Item 25g:** This will be the sum of 25a-f.
- **Item 26-27:** Self-explanatory
- **Item 28:** The curriculum vita must be uploaded into the G5 e-Application system. It should address those previously cited evaluation criteria focused on the qualifications of the individual applicant. You should list relevant course work dealing with your language and area studies specialization; indicate fellowships and honors received; describe your publications record and any other items which, in your opinion, give a panel of scholars a comprehensive view of your past achievements, ability to successfully complete the particular research described in this proposal, and overall promise as a teacher and/or research scholar

#### Item 29:

- 1. The application narrative must be uploaded as an attachment into the G5 e-Application system. Please note the page limitations specified in the Closing Date Notice. You should develop your application narrative taking into account the evaluation criteria provided in the sample Technical Review Form. It is advised that the applicant review the Technical Review Form provided and write their narrative in a way that answers all questions thoroughly. Do not leave out answers for any questions. Please note that in addition to language and area studies scholars, non-specialists are included in the evaluation process and that host country officials will review your application and ultimately decide whether research permission is granted. You may also wish to consider the following factors when developing your narrative:
  - a. Host country political sensitivities and interests
  - b. Host country visa requirements (it is recommended that applicants review host country visa requirements before applying)
  - c. Feasibility of research
  - d. Safety and security concerns
  - e. The use of English that can readily be understood by well-educated non-specialists.

Awards are not made to applicants planning to conduct research on topics that are determined to be politically sensitive or unfeasible by the US Embassy or Fulbright Commission in the host country. It should also be noted that the U.S. Department of Education will not submit to the host country "dummy" proposals (i.e., proposals that do not accurately reflect the research purpose in the hope of avoiding difficulties with host country acceptance of the proposal).

When writing the narrative students should have a well-thought out hypothesis; use well-defined terms and variables. The proposed research should be based on solid reasoning and previous research. It should pose a specific research question with a predicted outcome and a reasonable scope given the time to completion.

- 2. The bibliography must be uploaded as an attachment into the G5 e-Application system. Please note the page limitations specified in the Closing Date Notice.
- **3.** Host country supporting materials must be uploaded as an attachment into the G5 e-Application system. Host country supporting materials refers to letters of invitation, affiliation, etc. Though not required for the application, this information only serves to strengthen an application. All host country supporting materials must be combined into one document for uploading into the G5 e-Application system.
- **4.** Transcripts must be uploaded as an attachment into the G5 e-Application system. All transcripts must be combined into one document for uploading into the G5 e-Application system.
- **5.** Please note the following tips related to attaching images of transcripts to your application: Make a copy of the original file before continuing.
  - a. Crop the image using any standard image editing tool and remove any unnecessary portions of the picture.
  - b. Change the overall pixel dimensions to go smaller either by "Image Size," "Resize," or "Resample". Try to make the image dimension closer to 640 x 480 pixel (approximately 4 x 7 image size). This will make the image smaller and easy for sharing.
  - c. After sizing the image, be sure to do a Save As so you don't overwrite your original file. Save the image as a JPEG or JPG file.
  - d. When choosing the compression level, keep quality in the medium to high range. You may want to target a file size of 30 to 100 KB per image. Try not to exceed 100 KB per image.
  - e. Once the images have been resized and saved as JPEG/JPG format, they will have a small size compared to the original files. Use these new images to create the final document in PDF format.

#### Instructions for Fulbright-Hays Foreign Language Reference Form

The language reference form, which is required for this program, should be completed by a specialist in the language. Student applicants must initiate an e-mail to their referring specialists via the G5 e-Application system. Upon receipt of this e-mail, the reference will be able to access the G5 e-Application system and complete the reference form. When the reference submits the form, it will automatically become part of the student's application, so that it is submitted to US/ED when the Project Director transmits the entire application package. All completed reference forms must be submitted to the Project Director for transmittal to US/ED via G5 e-Application. Do not submit your references directly to US/ED, as they will not be accepted or included with your application. Please remind your reference writer to submit the form by the internal deadline set by your institutional Project Director. The G5 e-Application system will not accept submission from web/email accounts and servers not located in the United States.

A completed reference for each language listed in item 9 of the *Fulbright-Hays Training Grants Application Form* must be submitted.

#### Heritage Language Learners

For the purposes of the DDRA program, a Heritage Language Learner is a student who grew up using the language of research at home and/or received K-12 education in the language. It is the student's responsibility to ensure their status (heritage language learner, native speaker, or non-native or heritage speaker) is clear in the application. We recommend that students list this information in their CV to clarify their status. During the review process, if the language learner status is not clear in the application, the reviewers will score as they feel appropriate based on the information provided.

Please be aware that native speakers are not eligible for points (please see Criteria 3 under "Qualifications of the Applicant"). Heritage speakers usually score between 7-8 points. The reasoning behind this scoring is that the DDRA program was originally intended to enhance the foreign language competence of individuals trained in American schools, and the criteria gives greater emphasis to having acquired a foreign language and would encourage non-native born United States citizens or resident aliens to acquire an additional foreign language.

### Instructions for Fulbright-Hays Graduate Student Reference Form

One of the three personal references must be obtained from the student's dissertation advisor. Student applicants must initiate an e-mail to their references via the G5 e-Application system. Upon receipt of this e-mail, the reference will be able to access the G5 e-Application system and complete the reference form. When the reference submits the form, it will automatically become part of the student's application, so that it is submitted to US/ED when the Project Director

transmits the entire application package. All completed reference forms must be submitted to the Project Director for transmittal to US/ED via G5 e-Application. Do not submit your references directly to US/ED as they will not be accepted or included with your application. Please remind your reference writer to submit their form by the internal deadline set by your institutional Project Director. The G5 e-Application system will not accept submission from web/email accounts and servers not located in the United States.

#### Instructions for Assembly, Duplication and Transmission

You must check with your institution to determine if your institution will be submitting all applications via G5, as required in the <u>Federal Register</u>, or if it has been granted a waiver from that requirement. All of the institution's applications must be submitted in the same format.

Upon submittal via G5 e-Application, students' applications will become available to their university's Project Director for review and transmittal to the U.S. Department of Education. Only the Project Director is able to submit the application to the U.S. Department of Education. A completed student application should contain the items specified below:

- Fulbright-Hays DDRA Application Form
- Curriculum vita
- Application narrative
- Application bibliography
- One Fulbright-Hays Foreign Language Reference Form, for each language needed to conduct the research outlined, submitted by your referring specialist via G5 e-Application
- Three Fulbright-Hays Graduate Student Reference Forms submitted by your referring specialists via G5 e-Application
- Transcripts (Graduate transcripts are required. Undergraduate transcripts are recommended to demonstrate the student's language and area studies experience.)
- Any letters of affiliation you wish to include. Note: Letters of Affiliation must be uploaded to G5 e-Application. Letters of affiliation may not be mailed.

A complete institutional application to the DDRA Program is the sum of all completed student applications, plus the Application for Federal Assistance (SF 424), the ED Supplement to the 424, the Assurances -- Non-Construction Programs (SF 424B); Certification Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; and Disclosure of Lobbying Activities (if applicable). University Project Directors must submit the application to the US/ED via G5 e-Application, in accordance with the guidelines published in the Closing Date Notice. Failure to meet the deadline published in the Federal Register will mean rejection of the application without consideration. There is no appeal of this requirement.

#### **Important Note Regarding Host Country Research Clearances**

When Fulbright Commissions or other overseas organizations apply for host country clearance for a DDRA fellow's project, US/ED expects that the organization will use the proposal submitted to USED by that fellow for the DDRA competition. It is not acceptable to "transfer" clearances from a project submitted under one program's competition to a project submitted under another program's competition, in order to expedite clearance. The project that we fund must be the one submitted to US/ED that was reviewed and scored by US/ED peer reviewers according to the DDRA program's selection criteria, and has undergone US/ED review procedures. This review process is the basis of US/ED funding decisions, which are approved by officials throughout the Department. US/ED understands that the proposals that students submit for other programs may be "basically" the same, but we simply do not have the ability to verify this. The proposals for other programs often have different page limits, requirements and selection criteria, resulting in an application that is really quite different from what was submitted to US/ED. US/ED can only be responsible for funding the projects submitted under the DDRA program.

USED urges fellows to begin the clearance process as soon as possible, and to understand that they may have to be flexible with regard to when they begin their research.

#### **Important Note Regarding Host Country Visa Requirements**

It is the applicant's responsibility to ensure that the research they propose can actually be carried out under the visa issued by the host country. Visa requirements change often and it is the applicant's responsibility to ensure the research proposes meets the requirements/limitations of the visa. Students should review the host country visa requirements BEFORE submitting their application.

### **Frequently Asked Questions for Student Applicants**

#### Q1. Can a student apply independently of his/her university?

A1. No. Eligible applicants are institutions of higher education in the U.S. The student's application must be submitted to his/her university and transmitted to US/ED via G5 e-Application by the university's Project Director.

#### Q2. How does a student find information about his/her Project Director?

A2: A student should check the list of Project Directors included in this application package. If a university has submitted applications for DDRA funding in any of the previous DDRA competitions, the name(s) of the Project Director(s) might be on the list. If an institution does not appear on the list, it may be necessary for that university's administration to appoint a Project Director, and ensure that he/she registers in the G5 e-Application system. Do not assume that an institution listed in the drop down list in G5 e-Application has a registered Project Director for the DDRA program. Please check the "Registered Project Director" list provided in the application for this information.

#### Q3. What is the role of the student's academic advisor?

A3. The student's advisor will continue to serve as his/her mentor regarding the academic details of his/her research. Any changes to the student's research plans as proposed in the application will require the advisor's written approval. The advisor should not serve as the student's Project Director. There can be only one current Project Director at an institution, and that person administers the grant and serves as the point of contact for all of that institution's fellows, regardless of research topic or discipline.

#### Q4. What is the process for completing the G5 e-Application?

A4. There are two primary sections to G5 e-Application – the student's individual section and the Project Director section. When the student submits his/her application via the G5 e-Application system, it is transmitted to his/her university's Project Director for review and submission to US/ED. Student applicants are not able to transmit their applications directly to US/ED. The Project Director is responsible for reviewing each student's individual application and submitting all student applications as a single form, including the required federal forms, to US/ED. Included in this submission are the graduate and language reference forms that were transmitted to the Project Director by the references. Only the Project Director can submit an application to US/ED.

#### Q5. When should a student submit his/her application?

A5. The Project Director determines the institutional deadline by which all sections of the application must be submitted. It is the responsibility of the student to ensure that the graduate and language references are submitted by references no later than the institutions' deadline which is determined by the Project Director.

## Q6. What is the difference between the institutional deadline and the official Closing Date in the Notice Inviting Applications (NIA)?

A6. The Project Director has authority to determine an institutional deadline to ensure that he/she receives all of the components of the application before the official Closing Date in the NIA. The Project Director may not submit an e-Application to US/ED after the published transmittal deadline.

#### Q7. How will a student know that his/her Project Director has access to his/her application?

A7. After the student submits his/her application via G5 e-Application, he/she will receive an email notification noting that his/her application has been received by the Project Director at his/her university. If

he/she does not receive this email, the student should immediately contact the Help Desk. The Project Director will be copied on the email notification.

- Q8. How does a student know that the Project Director has submitted his/her application to US/ED?
- A8. Only the Project Director will receive a notification email once the application has been successfully transmitted to US/ED. The student will not receive an email notification and must check with his/her institution's Project Director to determine whether the institutional application has been successfully submitted.
- Q9. Can transcripts be uploaded electronically, or must they still be submitted in paper format?
- A9. Students must scan all transcripts and upload into the G5 e-Application. Only one upload is allowed, so all transcripts must be scanned and combined into one document. Transcripts obtained electronically must have all security features disabled. Failure to disable all security features may result in the inability of the panel reviewers to access the document as part of the official application process.

#### Q10. Should student applicants include their undergraduate transcripts?

A10. Yes, US/ED recommends that undergraduate transcripts are included with the student's application to demonstrate the applicant's language and area studies academic experience. Undergraduate transcripts should be combined with the graduate transcripts and uploaded into the G5 e-Application.

#### Q11. Should student applicants list their language and area studies course work in their CV?

A11. Yes. A list of language and area studies courses in the student's CV helps the panel reviewers to rate and score your academic qualifications and to determine if the applicant meets the eligibility requirements.

#### Q12. May a student apply for support to go to more than one country?

- A12. Yes. A student may propose research in multiple countries. Please be aware that a copy of all principal and alternate candidate applications will be sent to the Fulbright Commission or American Embassy in each host country to be reviewed for political sensitivity, feasibility, and budget. Final award decisions for the project as a whole will be based on the project feasibility in each proposed country.
- Q13. Even though the DDRA Program doesn't fund proposals that focus on Western European topics, may a student go to Western Europe to consult or research human or material resources on a non-Western European topic not available in the U.S.?

A13. Yes.

#### 014. Are U.S. citizens enrolled at foreign institutions eligible for the DDRA program?

A14. No. Only U.S. higher education institutions are eligible to apply to the DDRA program.

#### Q15. How important is the page limit cited in the Notice Inviting Applications?

A15. The page limit is extremely important. If the student's application narrative exceeds the page limit, the application will be disqualified and will not be reviewed for funding. Please adhere strictly to all of the guidelines in the NIA.

#### Q16. Should students include endnotes, footnotes or a bibliography?

A16. Endnotes, footnotes or a bibliography will certainly strengthen an application. However, footnotes and endnotes will be included with the application narrative and bibliography and is subject to the page limit restrictions specified in the NIA.

### Q17. What if a student proposes to use a much less commonly taught language and cannot find someone to evaluate him/her?

A17. The student should contact the US/ED program officer, Dr. Stephanie McKissic, at (202) 502-7589 or by email at ddra@ed.gov. An application will be significantly less competitive if a language reference form is not included.

# Q18. Should Letters of Affiliation from overseas host institutions or organizations be included in an application?

A18. Yes. Letters of Affiliation are helpful to the panel to review an application Students must upload the letters into the G5 e-Application system and submit them as "Host Country Supporting Materials". Only one "Host Country Supporting Materials" document may be uploaded, so all letters must be scanned as one document. Letters or references sent in the mail will not be included with the student's application.

### Q19. Do the provisions of the "Fly America Act" apply to this program? Does it benefit a student's application to request a lower amount, if he/she can find a cheaper non-U.S. carrier airfare?

A19 No, all travel must comply with the Fly America Act. International travel budget estimates should be based on jet economy high season rates on U.S. Flag carriers where available. Reviewers do not evaluate a student's budget request as part of the application review process.

## Q20. What if a student is planning to get married after he/she applies, or is expecting a baby? Is he/she eligible for a dependent's allowance?

A20. Availability of funds and the date of the marriage or birth will determine whether an allowance can be awarded. Funds will not be available after September 31, 2013.

#### Q21. What signatures are required for G5 e-Application?

A22. No signatures are necessary for the student's application at the time of application submittal. However, signatures from a student's doctor/nurse practitioner and advisor may be requested at a later date.

### Q23. Whom should the student contact in the event of technical problems with G5 e-application (e.g., problems accessing the form)?

A23. If the student experiences any technical difficulties, he/she should contact the G5 e-Application Help Desk at 1-888-336-8930 (TTY: 1-855-333-2807, local 202-401-6238) Monday-Friday from 8:00 a.m. to 6:00 p.m. EST time.

## Q24. If the student has a question specific to the DDRA program (e.g., eligibility requirements) whom should he/she contact?

A24. The student's first point of contact is always the institutional Project Director. The Project Director may then contact the US/ED program officer, Dr. Stephanie McKissic, by email at <a href="mailto:ddra@ed.gov">ddra@ed.gov</a>, if further clarification is needed.

#### Q25. When will students be notified whether or not they have been selected?

A25. The review process for DDRA Program applications is lengthy and multi-faceted, so announcement times may vary. Please contact the Project Director for institutional notification deadlines.

#### Q26. When are fellowships activated?

A26. A fellowship may be activated after a Grant Award Notification (GAN) has been issued, host country visa and research requirements have been met; human subject research clearance, if required, has been given by the student's institution and US/ED reviewer; and travel approval has been obtained by the Project Director from US/ED. The GAN will specify the performance and budget periods. All research must be completed during these periods. The performance and budget period for the FY 2013 fellows begins October 1, 2013.

### Q27. If a student relocates after submitting his/her application, should he/she send US/ED address updates?

A27. No. The Project Director is the first point of contact for students. Students must provide any changes and update to application information to the Project Directors.

# Q28. May the university accept the award on a student's behalf if the student is overseas during the notification period?

A28. Yes. If the student has not received a fellowship that duplicates the DDRA award benefits, the university can accept an award on the student's behalf. The student should leave a letter with the Project Director authorizing acceptance of the award on his/her behalf.

#### Q29. Must a fellow apply for his/her own visa and research clearance?

A29. Yes. Fellows are advised to contact the host country's Fulbright Commissions and U.S. embassies for guidance on obtaining appropriate visas and clearances.

#### Q30. May a student request copies of his/her application's panel reviewers' comments?

A30. All panel reviewers' comments will be sent to the institutional Project Director upon announcement of the awards. Students should contact their Project Director to request a copy.

### Q31. If a student's research project requires Institutional Review Board (IRB) approval what information needs to be provided in the DDRA application?

A31. Student applicants submit a completed Human Subjects Narrative. IRB approval is not needed at the time of application, however, a narrative must be submitted to the Project Director for uploading into the final application. The instructions for completing the narrative are in the ED Supplemental Form to the SF 424.

# Q32. Am I eligible to apply for a DDRA fellowship if my language of research is English or my heritage language?

A32. Yes. Students whose language of research is English or their heritage language are eligible to apply for a DDRA fellowship. However, applicants using English or their heritage language should review the "Qualifications of the Applicant" question on the technical review form. Only applicants who are using languages **other than** English or a heritage language are eligible to receive points for this criterion. [Please see section on "Heritage Language Learners" regarding heritage language applicants.]

#### Q33. May I request funds for hotel, lodging or per diem expenses?

A33. No. Hotel, lodging and per diem expenses are not allowable expenses for the DDRA fellowship period. The maintenance amount awarded is based on the cities/regions and the research period spent in the approved area. Therefore, DDRA funds will not be awarded for hotel, lodging or per diem expenses during the same research period when a maintenance allowance is awarded.

### **US Department of Education**

Fulbright-Hays Doctoral Dissertation Research Abroad Program

1.	Last Name, First, Middle			
2.	Date of Birth 3. Country of Birth		4.	Gender
5.	Name of US Institution of Higher Educati	ion	6.	Major Academic Discipline
	Language and Area Studies Specialization  Africa • AF  Western Hemisphere • WH  Central/Eastern Europe and Eurasia • East Asia • EA  Country(ies) of Research	• CEE		<ul><li>Near East • NE</li><li>South Asia • SA</li><li>Southeast Asia • SEA</li></ul>
0.	Country(les) of Research		9.	Language(s) of Research
	Dates of Proposed Research			Tentative Date Advanced to Candidacy
	Current Address, Phone & E-Mail			Citizenship  United States Resident Alien Alien Registration No.
14.	Veteran's Status	1	15.	Career Goal   Teaching  Other
16.	Proposed Dissertation Title	1		
17.	Dissertation Abstract (no more than 120	words)		

18.	Name(s) of Accompanying Dependent(s)	Relati	onship	Age	·
19.	Previous Overseas Travel				
20.	Grant Refunds Due	21. D	efault on Loans	Yes	
	□ No			□ No	
22.	Previously Awarded Fellowships				
	☐ Group Projects Abroad (GPA)		Title VI FLAS		
	☐ Jacob Javits		State Dept. / IIE Fu	lbright	
	☐ Other (please specify)				
23.	Current Application for Other Fellowships				
	☐ Group Projects Abroad (GPA)		Social Science Res	earch Council	(SSRC)
	☐ Int'l Research and Exchanges Board (IREX)		Title VI FLAS		
	☐ Jacob Javits		State Dept. / IIE Fu	lbright	
	□ NSEP / Academy for Educational Development		Other (please spec		
24.	Certification			, <u> </u>	
	List date of physical. Enter the name of the phy	sician	or certified nurse	who can te	stify that the
	candidate named in this application is physically				
	project as described in this proposal.				
	Name of Physician or Certified Nurse Practitione	er	Address		Telephone No.
_		- 41			
For	Official US/ED Use Only - do not write in the	sectio	on below		

25.	Budget Request				Tomi Expires 7/31/2013
a.	International Travel and Bagga	age			\$
	Jet travel for the itinerary:				
b.	Maintenance Allowance				\$
	City and Country	<u>No. of</u>	Monthly Rate	<u>Subtotal</u>	
		<u>Months</u>			
C.	Dependent(s) Allowance				\$
	1st Dependent	No. of	Monthly Rate	<u>Subtotal</u>	
		<u>Months</u>			
	Additional Dependents	<u>No. of</u> Months	Monthly Rate	Subtotal	
		WOTHIS			
d.	Project Allowance				\$
	I la altha and A asidont locument	/f f - II	I\		<b>*</b>
e.	Health and Accident Insurance	e (for fellow o	oniy)		\$
f.	Administrative Fee to US Appl	icant Inctituti	on		\$100
١.	Administrative ree to 03 Appr	icani msiituii	OH		φ100
	Total				\$
g.	lotai				Ψ
26	Student Certification				
20.	I certify that all information provide	ed on this form	is correct to the hest	of my knowledge	a.
	Name of Applicant		John Cot to the Dest		Date
	Traine of Applicant				Dale
27	Advisor Review				
- 1 -	Date of advisor's review of applica	tion.			
	Name of Dissertation Advisor				Date
					24.0

#### 28. Curriculum Vita

### 29. Project Description

(Application Narrative May Not Exceed the Page Limit as Described in the Closing Date Notice.)

#### **Bibliography**

### **Host Country Supporting Materials**

(Only one document may be uploaded. Please combined all materials into one document for uploading)

### Transcript(s)

(Only one document may be uploaded. Please combined all materials into one document for uploading)

# FULBRIGHT-HAYS FOREIGN LANGUAGE REFERENCE FORM U.S. DEPARTMENT OF EDUCATION WASHINGTON, DC 20006-8521

Name of Individua	al Applicant	Name of Institution
Countries of Rese	earch	Language
Proposed Project	or Dissertation Title	
university. Under		sent to the contact person at the applicant's college or not of this form is subject to review by the applicant and
Speaking	g and Listening (check one)	
	Unable to function in the spoken language	
	Able to satisfy basic survival needs and maint	ain very simple conversation on familiar topics
	Able to satisfy routine social demands and lim	ited work requirements
	Able to participate effectively in most formal a topics and on professional topics in restricted	nd informal conversations on practical and social contexts
	Able to use the language fluently and accurate	ely on all levels pertinent to professional needs
	Use of the language is functionally equivalent	to a well-educated native speaker
Reading	(check one)	
	No practical ability to read the language	
	Sufficient comprehension to read very simple usual printing or typescript	connected written material in a form equivalent to
	Sufficient comprehension to read simple, auth	entic texts on subjects within a familiar context
	Able to read within a normal range of speed a authentic prose material on unfamiliar subject	nd with almost complete comprehension a variety of s, as well as technical material
	Able to read fluently and accurately all styles needs, including all materials in one's special	and forms of the language pertinent to professional field
	Reading proficiency is functionally equivalent	to a well-educated native speaker
Writing (	check one)	
	No functional ability in writing	
	Sufficient control of the writing system to mee	t limited demands
	Sufficient control of the writing system to mee	t most survival needs and limited social demands
	Ability to write with some precision and in som	ne detail about most common topics
	Able to use the language effectively in most for social, and professional topics	ormal and informal written exchanges on practical,
	Writing proficiency is equal to that of a well-ed	lucated native speaker
Please indicate	briefly how your evaluation was determined	l (e.g. two years of coursework, a test, etc.).
Name	Position or Title	University
Signature		Date

# FULBRIGHT-HAYS GRADUATE STUDENT REFERENCE FORM U.S. Department of Education Washington, DC 20006-8521

Name o	t Individual Applicant		iNa	me or ins	stitution				
Countrie	es of Research		La	nguage(s	5)				
Propose	ed Project or Dissertation Title								
the content In completi representir applicant's 1. If 2. If	npleted by referee and sent to the contact to this form is subject to review by the applicing this form, please keep in mind that the applicing this or her academic community and that his actions and statements. Consequently, pershow long and in what capacity have you keeplease indicate the applicant's ability and at similar stages in their careers:	cant and other plicant for a s or her universal suitabil nown the ap	ers accompa Fulbright-Ha versity, future ity, as well a oplicant?	nying him or ys fellowship U.S. schola s academic	her, upon re o may be cor irs, and his c excellence, i	equest. nsidered by h or her country s an importa	ost country may be jud nt criterion t	citizens as dged by the to be considered.	
		Below Average (lowest 40%)	Average (mid 20%)	Above Average (next 15%)	Very Good (next 10%)	Out– standing (next 10%)	Excep- tional (highest 5%)	Inadequate opportunity to observe	
A.	General knowledge								
В.	Knowledge in chosen field								
C.	Motivation and seriousness of purpose								
D.	Ability to plan and carry out research								
E.	Ability to express thoughts in speech and writing								
F.	Ability to withstand stress								
G.	Self-reliance and independence								
Н.	Ability to make sound judgments								
l.	Potential for future growth in chosen field								
J.	Ability to communicate with people								
K.	Impression to be made abroad								
3.	On an extra 8-1/2" X 11" sheet, please of available abroad, ability of the applicant plan, the candidate's ability to adapt to bearing on the candidate's successful project itself.	t to carry o a different	ut the proje cultural env	ct in the allo	otted time, li	inguistic pre er factors wi	eparation for	or the proposed elieve may have a	
Name	Pos	ition or T	ïtle		Ur	niversity			
Signatu	re				Da	ite			

TECHNICA	AL REVIEW - DOO	CTORAL DISSERTATION R	RESEARCH A	ABROAD
Interna	ational Education P	rograms Service • U.S. Depart	ment of Educa	ation
I. NAME OF INDIVIDUA	L APPLICANT	NAME OF APPLICANT INSTITUT	TION	WORLD AREA
II. CUMULATIVE S	CORE OF THE TW	O CATEGORIES OF CRITER	RIA	READER
QUALITY	Y OF PROPOSED	PROJECT (Maximum of 60 p	oints)	TOTAL
QUALIFI	CATIONS OF APP	PLICANT (Maximum of 40 poi	ints)	
СОМРЕ	FITIVE PRIORITIES	S (10 points)		
found on the place the to	ne following pages)	scores for the three categorie Add the scores from the thre to the right. The sum of the F	e boxes on the	e left and
DO NOT USE DE	ECIMAL POINTS			
	III.	OVERALL COMMENTS		
IV. SIGNATURE OF	REVIEWER		DATI	E

**PAGE TWO** 

TECHNICAL REVIEW - DOCTORAL DISSERTATION RESEARCH ABROAD	RATING SCA	LE		
	If total points are:	5	10	15
Score this application in terms of the Rating Scale. Indicate your score for	O	_	10	4.5
loach critorion in the hey to the right. He not lice decimal neinte. Add the				-
,	Very Good	4	8	12
scores of the criteria and enter the sum in the box marked Total Points.	Good	3	6	9
Transfer the Total Points to the appropriate block in Section II on the Cover	Average	2	4	6
' ' '	Poor	1	2	3
Page.	Unacceptable or	0	0	0
	not described			

Page.	Unacceptable or 0 0 0 0 not described
V. QUALITY OF PROPOSED PROJECT (Maximum of 60)	0 points)
The statement of the major hypotheses to be tested or questions to be examined and the description and justification of the research methods to bused.  YOUR COMMENT:	(15)
2. The relationship of the research to the literature on the topic and to major theoretical issues in the field, and the project's originality and importance in terms of the concerns of the discipline. YOUR COMMENT:	(10)
3. The preliminary research already completed in the United States and overs or plans for such research prior to going overseas, and the kinds, quality ar availability of data for the research in the host country or countries. YOUR COMMENT:	nd
	(10)

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each criterion in the box to the right. Do not use decimal points. Add the scores of the criteria and enter the sum in the box marked Total Points.  Transfer the Total Points to the appropriate block in Section II on the Cover	RATING If total points are: Outstanding Very Good Good Average Poor Unacceptable or not described	5 5 4 3 2 1 0	10 10 8 6 4 2 0	15 15 12 9 6 3 0
4. The justification for overseas field research, and preparations to establish appropriate and sufficient research contacts and affiliations abroad. YOUR COMMENT:		(10)		
5. The applicant's plans to share the results of the research in progress and a of the dissertation with scholars and officials of the host country or countries YOUR COMMENT:		(5)		
6. The guidance and supervision of the dissertation advisor or committee at all stages of the project, including guidance in developing the project, understanding research conditions abroad, and acquainting the applicant wi research in the field.  YOUR COMMENT:		(10)		
(Please record this total in Section II on the cover page.)	OTAL OINTS:			

RATING SCALE TECHNICAL REVIEW - DOCTORAL DISSERTATION RESEARCH ABROAD If total points are: Score this application in terms of the Rating Scale. Indicate your score for Outstanding 10 15 each criterion in the box to the right. Do not use decimal points. Add the Very Good 4 8 12 Good 3 6 9 scores of the criteria and enter the sum in the box marked Total Points. Average 2 4 6 Transfer the Total Points to the appropriate block in Section II on the Cover 3 Poor 1 2 Unacceptable or 0 0 Page. not described

VI. QUALIFICATIONS OF THE APPLICANT (Maximum of 40 po	oints)
The overall strength of the applicant's graduate academic record.  YOUR COMMENT:	
	(10)
2. The extent to which the applicant's academic record demonstrates a strength in area studies relevant to the proposed project. YOUR COMMENT:	
	(10)
3. The applicant's proficiency in one or more of the languages (other than English and the applicant's native language) of the country or countries of research, and the specific measures to be taken to overcome any anticipated language barriers.  YOUR COMMENT:	
	(15)
4. The applicant's ability to conduct research in a foreign cultural context, as evidenced by the applicant's references or previous overseas experiences, or both. YOUR COMMENT:	
	(5)
QUALIFICATIONS OF THE APPLICANT POINT (Please record this total in Section II on the cover page.) (0-40)	S:

TECHNICAL REVIEW - DOCTORAL DISSERTATION RESEARCH ABROAD **RATING SCALE** Score this application in terms of the Rating Scale. Indicate your score for If total points are: 5 10 15 each criterion in the box to the right. Do not use decimal points. Add the Meets the competitive priority scores of the criteria and enter the sum in the box marked Total Points. Transfer the Total Points to the appropriate block in Section II on the Cover Does not meet the Page. competitive priority

#### VII. COMPETITIVE PRIORITIES (10 points)

We award an additional five (5) points to an application if it meets this priority: A research project that focuses on any of the seventy-eight (78) languages selected from the U.S. Department of Education's list of Less Commonly Taught Languages (LCTLs):. Akan (Twi-Fante), Albanian, Amharic, Arabic (all dialects), Armenian, Azeri (Azerbaijani), Balochi, Bamanakan (Bamana, Bambara, Mandikan, Mandingo, Maninka, Dyula), Belarusian, Bengali (Bangla), Berber (all languages), Bosnian, Bulgarian, Burmese, Cebuano (Visayan), Chechen, Chinese (Cantonese), Chinese (Gan), Chinese (Mandarin), Chinese (Min), Chinese (Wu), Croatian, Dari, Dinka, Georgian, Gujarati, Hausa, Hebrew (Modern), Hindi, Igbo, Indonesian, Japanese, Javanese, Kannada, Kashmiri, Kazakh, Khmer (Cambodian), Kirghiz, Korean, Kurdish (Kurmanji), Kurdish (Sorani), Lao, Malay (Bahasa Melayu or Malaysian), Malayalam, Marathi, Mongolian, Nepali, Oromo, Panjabi, Pashto, Persian (Farsi), Polish, Portuguese (all varieties), Quechua, Romanian, Russian, Serbian, Sinhala (Sinhalese), Somali, Swahili, Tagalog, Tajik, Tamil, Telugu, Thai, Tibetan, Tigrigna, Turkish, Turkmen, Ukrainian, Urdu, Uyghur/Uigur, Uzbek, Vietnamese, Wolof, Xhosa, Yoruba, and Zulu. Note: The score will be EITHER FIVE (5) OR ZERO (0). Do not enter any other number. (5)

YOUR COMMENT:

We award an additional five (5) points to an application if it meets this priority:

Research projects that are proposed by applicants using advanced language proficiency in one of the 78 LCTLs listed in Competitive Preference Priority 1 in their research and are in the fields of economics. engineering, international development, global education, mathematics, political science, public health, science, or technology

Note: The score will be EITHER FIVE (5) OR ZERO (0). Do not enter any other number.

YOUR COMMENT:

(Please record this total in Section II on the cover page.)

#### **Fulbright-Hays Maintenance Allowances**

Fulbright-Hays training grants maintenance allowances are based on current cost-of-living data provided by the Department of State. Additional and more up-to-date information on costs of living obtained at the time individual applications are reviewed by diplomatic missions and binational commissions overseas may require modifications of these rates at a later date. The allowances of fellows jointly sponsored by US/ED and other organizations [e.g., SSRC, NSF] will be adjusted accordingly.

LOCALITY	, will be adjusted accordingly.	MONTHLY STIPEND	FIRST DEPENDENT	ADD'L DEPENDENT(S)
ALBANIA				
	Tirana	2601	1040	520
	Other	1206	482	241
ALGERIA				
	Algiers	3348	1339	670
	Other	2295	918	459
ANDORRA				
	Andorra	2988	1195	598
ANGOLA		4509	1804	902
ANGUILLA		2079	832	416
ANTIGUA AND BARBUDA				
	Antigua and Barbuda	2538	1015	508
	Other	558	223	112
ARGENTIN	A			
	Bariloche	2970	1188	594
	Buenos Aires	3078	1231	616
	Mendoza	2583	1033	517
	Other	2628	1051	526
ARMENIA		2160	864	432
AUSTRALIA	P			
	Adelaide	3924	1570	785
	Brisbane	3384	1354	677
	Cairns	3015	1206	603
	Canberra	3492	1397	698
	Darwin Northern Territiories	3105	1242	621
	Fremantle	3510	1404	702
	Hobart	3681	1472	736
	Melbourne	4050	1620	810
	Perth	4329	1732	866
	Sydney	3438	1375	688
	Other	3015	1206	603
AUSTRIA				
	Graz	3645	1458	729
	Linz	3438	1375	688
	Salzburg	3753	1501	751
	Vienna	3600	1440	720
	Other	3564	1426	713
AZERBAIJA		3357	1343	671
	Baku	3357	1343	671
	Ganja	1746	698	349
	Other	1467	587	293
BAHAMAS, THE				
	Andros Island	2124	850	425
	Eleuthera Island	2295	918	459
	Grand Bahama Island	2565	1026	513
	Nassau	3933	1573	787
	Other	2124	850 71	425
			/ 1	

BAHRAIN BANGLADE	SH	3564	1426	713
DANGLADE	Dhaka	2610	1044	522
	Other	1215	486	243
BARBADOS		3240	1296	648
BELARUS	)	3537	1415	707
BELGIUM		3337	1415	707
BELGIOW	Antworp	3123	1249	625
	Antwerp	2331	932	466
	Brugge Brussels	3276	1310	655
	Diegem	3276 2052	1310 821	655 410
	Kleine Brogol	2052		
	Liege SHAPE/Chievres		911	455
		2025	810	405
	Zaventem	3276	1310	655
DELIZE	Other	1602	641	320
BELIZE	D II 0''	4004	750	070
	Belize City	1881	752	376
	Belmopan	2106	842	421
	San Pedro	2196	878	439
	Other	1881	752	376
BENIN				
	Cotonou	2295	918	459
	Other	1323	529	265
BERMUDA		4482	1793	896
BHUTAN		3528	1411	706
BOLIVIA				
	Cochabamba	1206	482	241
	La Paz	1566	626	313
	Santa Cruz	1503	601	301
	Other	999	400	200
BOSNIA-HE	RZEGOVINA	1755	702	351
BOTSWANA	Ą			
	Francistown	1980	792	396
	Gaborone	1998	799	400
	Kasane	2214	886	443
	Selebi Phikwe	1638	655	328
	Other	1323	529	265
BRAZIL				
	Belem	2934	1174	587
	Belo Horizonte	2475	990	495
	Brasilia	3150	1260	630
	Campinas	1422	569	284
	Fortaleza	2151	860	430
	Foz do Iguacu	2052	821	410
	Goiania	2925	1170	585
	Manaus	2187	875	437
	Natal	2646	1058	529
	Porto Alegre	2358	943	472
	Recife, Pernambuco	2628	1051	526
	Rio de Janeiro	3969	1588	794
	Salvador da Bahia	2097	839	419
	Sao Jose dos Campos	1665	666	333
	Sao Paulo	3429	1372	686
	Other	2763	1105	553
BRUNEI	Galei	2103	1105	000
PIONEI	Bandar Seri Begawan	2610	1044	522
	Danuar Och Deyawan	2010	72	522

	Other	1107	443	221
BULGARIA				
	Bourgas	1188	475	238
	Plovdiv	2043	817	409
	Ruse	963	385	193
	Sofia	2439	976	488
	Varna	1395	558	279
	Other	1359	544	272
BURKINA				
	Bobo Dioulasso	1314	526	263
	Ouagadougou	2340	936	468
	Other	1314	526	263
BURMA				
	Naypyitaw	2034	814	407
	Rangoon	3123	1249	625
	Other	2871	1148	574
BURUNDI		1683	673	337
CAMBODIA	ı			
	Phnom Penh	2043	817	409
	Siem Riep	2178	871	436
	Sihanoukville	1341	536	268
	Other	765	306	153
CAMEROO				
	Douala	2430	972	486
	Limbe	2079	832	416
	Yaounde	2673	1069	535
	Other	1431	572	286
CANADA			0.12	200
0, 11 1, 12, 1	Banff	4383	1753	877
	Calgary	3933	1573	787
	Dartmouth	2934	1174	587
	Edmonton	2907	1163	581
	Fredericton	2790	1116	558
	Gander, Newfoundland	1431	572	286
	Halifax	2934	1174	587
	Mississauga	1935	774	387
	Moncton	2493	997	499
	Montreal	3105	1242	621
	Northwest Territories	2061	824	412
	Ottawa	2700	1080	540
	Prince Edward Is.	2709	1084	542
	Quebec	4005	1602	801
	Richmond	2754	1102	551
	Saint John's	2655	1062	531
		2844	1138	569
	Sidney Toronto	2952		590
	Vancouver	2979	1181	590 596
	Victoria	2844	1192	569
			1138	
	Winnipeg Other	2412 2574	965 1030	482 515
CAPE VERI		2574	1030	315
CAFE VERI		1006	724	267
	Boa Vista Island	1836	734	367 421
	Praia Sal Island	2106	842	421
	Sal Island	2205	882	441
	Sao Tiago Island	1008	403	202
	Sao Vicente Island	1719	688	344
	Other	1125	450	225
			73	

COSTA RI		2106	842	421
COOK ISL		3420	1368	684
CONGO, F	REPUBLIC OF	3294	1318	659
	Other	1575	630	315
50,000	Moroni	3393	1357	679
COMORO		2200	900	400
	Santa Marta Other	2241 2250	896 900	448 450
	San Andres	2250	900	450 448
	Medellin	2358	943	472
	Cartagena	3699	1480	740
	Cali	2241	896	448
	Buenaventura	1971	788	394
	Bogota	3438	1375	688
	Barranquilla	2016	806	403
COLOMBI	A			
COCOS (F	KEELING) ISLANDS	972	389	194
	Other	2358	943	472
	Zhuhai	2331	932	466
	Xian	2223	889	445
	Xiamen	2439	976	488
	Wuhan	2358	943	472
	Urumqi	2025	810	405
	Tianjin	2187	875	437
	Suzhou	2358	943	472
	Shenzhen	3591	1436	718
	Shenyang	1602	641	320
	Shantou	2043	817	409
	Shanghai	2970	1188	594
	Sanya	2412	965	482
	Qingdao	2205	882	420 441
	Nanning Ningbo	2250 2142	900 857	450 428
	Nanjing Nanjing	1953 2250	781 900	391 450
	Lijiang Nanjing	1296 1953	518 781	259 391
	Lhasa	1647 1206	659 518	329
	Kunming	1908 1647	763	382
	Jinan	1854	742	371
	Harbin	1854	742	371
	Hangzhou	2367	947	473
	Guilin	2412	965	482
	Guangzhou	3402	1361	680
	Fuzhou	2475	990	495
	Dalian	1809	724	362
	Chongqing	1638	655	328
	Chengdu	2088	835	418
	Changchun	1863	745	373
	Beijing	3393	1357	679
CHINA				
CHILE		2421	968	484
	Other	648	259	130
	Ndjamena	3465	1386	693
CHAD				
	AFRICAN REPUBLIC	2268	907	454
CAYMAN ISLANDS		2637	1055	527

	Yamoussoukro	1593	637	319
	Other	2106	842	421
CROATIA				
	Cavtat	3996	1598	799
	Dubrovnik	3996	1598	799
	Spilt	2502	1001	500
	Zagreb	2592	1037	518
	Other	2592	1037	518
CUBA				
	Guantanamo Bay	756	302	151
	Havana	1260	504	252
	Holguin	1278	511	256
	Mantanzas	1296	518	259
	Santiago	1323	529	265
	Trinidad	1251	500	250
	Other	1125	450	225
CYPRUS		1120	100	220
	Akrotiri	3402	1361	680
	Limassol	3402	1361	680
	Nicosia	2808	1123	562
	Paphos	3429	1372	686
	Other	2286	914	457
CZECH REF		2200	914	437
CZECH REF		2502	1001	500
	Brno	2502	1001	500
	Prague	3744	1498	749
DEMOCRAC	Other CTIC REPUBLIC OF THE	1962	785	392
CONGO	TIC REPUBLIC OF THE			
001100	Bukavu	2196	878	439
	Goma	1791	716	358
	Kinshasa	3654	1462	731
	Lubumbashi	2367	947	473
	Mbuji Mayi, Kasai	1638	655	328
	Other	1683	673	337
DENMARK	Other	1003	073	337
DENWARK	Aalbara	2212	1325	662
	Aalborg	3312		
	Copenhagen	3771	1508	754
	Odense	3465	1386	693
	Other	3375	1350	675
DJIBOUTI				
	Djibouti City	3069	1228	614
	Other	1386	554	277
DOMINICA		1989	796	398
DOMINICAN				
	La Romana	2403	961	481
	Puerto Plata	1422	569	284
	Santo Domingo	2250	900	450
	Sosua	1422	569	284
	Other	1710	684	342
ECUADOR				
	Cuenca	1701	680	340
	Guayaquil	2457	983	491
	Manta	1611	644	322
	Quito	2385	954	477
	Other	1701	680	340
EGYPT				
	Alexandria	2070	828	414
		- <del>-</del>		• • •

	Aswan	1494	598	299
	Cairo	2403	961	481
	Hurghada	1251	500	250
	Luxor	2061	824	412
	Sharm el Sheikh	2043	817	409
	Other	1674	670	335
EL SALVAD	OR			
	San Salvador	1989	796	398
	Other	891	356	178
EQUITORIA	L GUINEA	3447	1379	689
ERITREA				
	Asmara	1980	792	396
	Other	729	292	146
ESTONIA				
	Tallinn	2160	864	432
	Other	2079	832	416
ETHIOPIA				
	Addis Ababa	3600	1440	720
	Other	783	313	157
FALKLAND		1872	749	374
FAROE ISLA		3429	1372	686
FIJI	11120	0120	1012	000
1 101	Korolevu	1521	608	304
	Nadi	2754	1102	551
	Sigatoka	2331	932	466
	Suva	2052	821	410
	Other	2052	821	410
FINLAND	Otrici	2002	021	410
TINLAIND	Helsinki	3393	1357	679
	Other	2673	1069	535
FRANCE	Other	2073	1009	333
TIVANOL	Bordeaux	3564	1426	713
	Cannes	5760	2304	1152
	Deauville	4779	1912	956
	Lyon	3537	1415	707
	Marseille	3879	1552	707 776
		3753	1501	770 751
	Montpellier Nice	3744	1498	749
	Paris	4869	1948	974
	Strasbourg	3978	1591	796
	Toulouse	3762	1505	752
	Other	2826	1130	565
FRENCH G		2799	1120	560
FRENCH PO				
	JLTINESIA	3789	1516	758
GABON	Librovilla	4202	1701	960
	Libreville	4302 2853	1721	860 571
GAMBIA, TH	Other	2000	1141	571
GAIVIDIA, TE		1000	700	200
	Banjul	1980	792	396
OFOROLA	Other	603	241	121
GEORGIA	Th:::::::	0000	4070	500
	Tbilisi	2682	1073	536
	Adjara Region	2286	914	457
	Gudauri	1863	745	373
	Other	1215	486	243
GERMANY	D "	2016	4000	2=2
	Berlin	3249	1300	650

	5 11	0000	1001	0.10
	Boeblingen	3060	1224	612
	Bonames	3645	1458	729
	Bonn	2610	1044	522
	Bremen	2871	1148	574
	Cologne	3258	1303	652
	Dresden	3051	1220	610
	Duesseldorf	2898	1159	580
	Echterdingen	3060	1224	612
	Erfurt	3051	1220	610
	Eschborn	3645	1458	729
	Esslingen	3060	1224	612
	Frankfurt am Main	3645	1458	729
	Garmisch-Partenkirchen	1989	796	398
	Hamburg	3114	1246	623
	Hannover	2655	1062	531
	Heidelberg	3051	1220	610
	Herongen	2898	1159	580
	Hoechst	3645	1458	729
	Kalkar	2898	1159	580
	Koenigswinter	2610	1044	522
	Kornwestheim	3060	1224	612
	Leipzig	2646	1058	529
	Ludwigsburg	3060	1224	612
	Mainz	2979	1192	596
	Moenchen-Gladbach	2898	1159	580
	Munich	3519	1408	704
	Nellingen	3060	1224	612
	Oberammergau	1989	796	398
	Offenbach	3645	1458	729
	Roedelheim	3645	1458	729
	Schwabach	3087	1235	617
	Sindelfingen	3060	1224	612
	Stuttgart	3060	1224	612
	Tuebingen	3060	1224	612
	Twisteden	2898	1159	580
	Wiesbaden	3078	1231	616
	Other	3051	1220	610
GHANA				
	Accra	2979	1192	596
	Takoradi	1980	792	396
	Other	1287	515	257
GIBRALTA	R	1449	580	290
GREECE				
	Athens	3069	1228	614
	Iraklion (Crete)	2538	1015	508
	Other	2538	1015	508
GREENLA	ND			
	Ilulissat	3681	1472	736
	Kangerlussuaq	3231	1292	646
	Nuuk	3942	1577	788
	Thule	2502	1001	500
	Other	2898	1159	580
GRENADA		2673	1069	535
GUADELO				
	Saint Martin (French Part)	1908	763	382
	Other	1413	565	283
GUATEMA	LA			_
			77	

	Guatemala City	2007	803	401
	Other	945	378	189
GUINEA				
	Conakry	2394	958	479
	Other			
OLUMEA DI		945	378	189
GUINEA-BIS				
	Bissau	2502	1001	500
	Other	792	317	158
GUYANA		1890	756	378
HAITI				
	Cap Haitien	2349	940	470
	Jacmel			
		1467	587	293
	Montrouis	2151	860	430
	Petionville	2394	958	479
	Port-au-Prince	2394	958	479
	Other	1683	673	337
HOLY SEE		5337	2135	1067
HONDURAS	2	5551	2.00	1001
HONDONA		227	044	455
	Bay Islands	2277	911	455
	Le Ceiba	1611	644	322
	San Pedro Sula	2358	943	472
	Tegucigalpa	2376	950	475
	Tela	1665	666	333
	Other	1305	522	261
HONG KON				
	G	4473	1789	895
HUNGARY				
	Budapest	2277	911	455
	Papa	1197	479	239
	Other	1197	479	239
ICELAND				
1022, 1112	Akureyri	2169	868	434
	_			
	Reykjavik	2772	1109	554
	Other	1953	781	391
INDIA				
	Agra	1944	778	389
	Bangalore	2520	1008	504
	Calcutta	2520	1008	504
	Chennai	2520		504
			1008	
	Goa	1944	778	389
	Hyderabad	2520	1008	504
	Mumbai	2520	1008	504
	New Delhi	2520	1008	504
	Pune	2520	1008	504
	Trivandraum	1944	778	389
	Other	1944	778	389
INDONESIA				
	Bali	3537	1415	707
	Banda Aceh	1323	529	265
	Bandung	1197	479	239
	Batam	1170	468	234
	Jakarta	3258	1303	652
	Jayapura	1503	601	301
	Medan	1314	526	263
	Surabaya	1836	734	367
	Timika, Irian Jaya	2898	1159	580
	Yogyakarta	1296	518	259
	Other	1314	526	263
	Oute	1314		203
			78	

IRAN		1395	558	279
IRAQ				
	Baghdad	99	40	20
	Erbil	2484	994	497
IRELAND				
	Cork	2916	1166	583
	Dublin	4068	1627	814
				607
	Galway	3033	1213	
	Other	2691	1076	538
ISRAEL				
	Eilat	4545	1818	909
	En Boqeq	3438	1375	688
	Haifa	3411	1364	682
	Sedom	3438	1375	688
	Tel Aviv	4419	1768	884
	Tiberias	3762	1505	752
	Other	3762	1505	752
ITALY	011101	0.02	1000	.02
IIALI	Bari	2817	1127	563
	Bologna	4293	1717	859
	Bolzano	2664	1066	533
	Capri	4050	1620	810
	Catania	2943	1177	589
	Como	5112	2045	1022
	Ferrara	2961	1184	592
	Florence	4365	1746	873
	Gaeta	2043	817	409
	Genoa	3717	1487	743
	La Spezia	2655	1062	531
	Milan	4833	1933	967
				567
	Modena	2835	1134	
	Naples	3060	1224	612
	Palermo	2889	1156	578
	Pisa	2457	983	491
	Pordenone-Aviano	1638	655	328
	Ravenna	2655	1062	531
	Reggio Emilia	2988	1195	598
	Rimini	3168	1267	634
	Rome	5337	2135	1067
	Siena	4050	1620	810
	Taormina	2943	1177	589
	Treviso	3141	1256	628
	Trieste	3483	1393	697
	Turin	4140	1656	828
	Venice	5508	2203	1102
	Verona	2916	1166	583
	Vicenza	1746	698	349
	Other	2358	943	472
JAMAICA		2349	940	470
JAPAN				
	Akashi	3402	1361	680
	Akita	2367	947	473
	Amagasaki	3402	1361	680
	Aomori	2277	911	455
	Asahikawa	2151	860	430
	Ashiya	3537	1415	707
	Awashima	5598	2239	1120
			70	

<u>_</u>			
Beppu	3240	1296	648
Chitose	2043	817	409
Fukui	1800	720	360
Fukuoka	3555	1422	711
Fukuyama	1881	752	376
Gifu	3258	1303	652
Hamamatsu	2691	1076	538
Hiroshima	2682	1073	536
Itazuke	3555	1422	711
Izumisano	3177	1271	635
Kagoshima	2781	1112	556
Kanazawa	2205	882	441
Kitakyushu	3177	1271	635
Kochi	2331	932	466
Komaki	2718	1087	544
Kumamoto	3339	1336	668
Kurashiki	4356	1742	871
Kure	2619	1048	524
Kushiro	1890	756	378
	4149	1660	830
Kyoto			
Matsue	1845	738	369
Matsuyama	2691	1076	538
Miyazaki City	4347	1739	869
Morioka	2214	886	443
Nagasaki	3942	1577	788
Nagoya	3510	1404	702
Nara	2313	925	463
Narita	3105	1242	621
Niigata	2007	803	401
Nishinomiya	3537	1415	707
Obihiro	2358	943	472
Oita	2475	990	495
Okayama	3078	1231	616
Okinawa Prefecture		1451	725
Osaka-Kobe	3402	1361	680
Otsu	2844	1138	569
Oyama	1998	799	400
Sapporo	2493	997	499
Sasebo	1818	727	364
Sendai	3087	1235	617
Shiga	2844	1138	569
Takamatsu	2232	893	446
Takayama	2862	1145	572
Tokushima	2205	882	441
Tokyo City	4050	1620	810
Tokyo-To	2889	1156	578
Tottori	2376	950	475
Toyama	2709	1084	542
Toyonaka	3141	1256	628
Tsu	2745	1098	549
Wakayama	2862	1145	572
Yamato	2466	986	493
Yokohama	2817	1127	563
Yokota	1773	709	355
Yufuin	2952	1181	590
Other	2745	1098	549
JERUSALEM	4482	1793	896
		90	

JORDAN				
	Amman	3348	1339	670
	Aqaba	2259	904	452
	Dead Sea/Jordan Valley	2916	1166	583
	Petra	2637	1055	527
	Other	2259	904	452
KAZAKHS				
	Aktau	2754	1102	551
	Almaty	2943	1177	589
	Astana	3285	1314	657
17571774	Other	2124	850	425
KENYA	Lamer	2204	4054	677
	Lamu Malindi	3384 3222	1354 1289	677 644
	Mara Area Region	3222 2745	1269	549
	Mombasa	2439	976	488
	Mt. Kenya Area	3051	1220	610
	Nairobi	3690	1476	738
	Nanyuki	522	209	104
	Watamu	2898	1159	580
	Other	1746	698	349
KIRIBATI				0.0
	Christmas Island	1260	504	252
	Tawara	1467	587	293
	Other	1215	486	243
KOREA				
	Busan	3231	1292	646
	Changwon	1836	734	367
	Cheju	3006	1202	601
	Chinju	1485	594	297
	Chongju	1197	479	239
	Chonju	2151	860	430
	Chung Ju	1395	558	279
	Incheon	2403	961	481
	Kimhae	1296	518	259
	kumi	1764	706	353
	Kwangju	1944	778	389
	Kyongju	2223	889	445
	Masan	1323	529	265
	Pohang	1944	778 547	389
	Pyongtaek	1368	547	274
	Seoul Sokcho	3150 1944	1260 778	630 389
		1845	778 738	369
	Taegu Taejon	1863	736 745	373
	Uijongbu	1215	486	243
	Ulsan	2736	1094	547
	Other	1215	486	243
KOSOVO		1210	100	270
	Pristina	1746	698	349
	Other	918	367	184
KUWAIT	- *	4194	1678	839
KYRGYZS	STAN			
	Bishkek	2799	1120	560
	Issyk-Kaul Region	1728	691	346
	Other	1152	461	230
LAOS				
			0.1	

	Luang Prabang	2457	983	491
	Vientiane	1908	763	382
	Other	1719	688	344
LATVIA		2322	929	464
LEBANON		1773	709	355
LESOTHO				
	Maseru	1854	742	371
	Other	1746	698	349
LIBERIA	S			0.0
LIDE! (II) (	Monrovia	2655	1062	531
	Other	1044	418	209
LIBYA	Other	1044	410	209
LIDTA	Donaha-i	1764	706	252
	Benghazi	1764	706	353
	Misurata	1764	706	353
	Sirte	1764	706	353
	Tripoli	4032	1613	806
	Other	1440	576	288
LIECHTENS	STEIN	3870	1548	774
LITHUANIA				
	Palanga	2601	1040	520
	Vilnius	2502	1001	500
	Other	1980	792	396
LUXEMBOL	IRG	4293	1717	859
MACAU	-	3969	1588	794
MACEDONI	Δ	2286	914	457
MADAGASO		2200	011	101
W/ ND/ NO/ NOC	Antananarivo	2133	853	427
		1917	767	383
	Nosy Be			
N 4 A 1 A 1 A 1 A //	Other	1503	601	301
MALAWI	5	0.40=	.==	407
	Blantyre	2187	875	437
	Lilongwe	2187	875	437
	Mangochi	1854	742	371
	Salima	2466	986	493
	Other	1854	742	371
MALAYSIA				
	Kota Kinabalu, Sabah	1791	716	358
	Kuala Lumpur	2151	860	430
	Kuantan	1620	648	324
	Langkawi	2619	1048	524
	Melaka	1413	565	283
	Penang	1701	680	340
	Other	1791	716	358
MALDIVES	31101	3942	1577	788
MALI		0072	1077	700
IVIALI	Damaka	2445	0.46	400
	Bamako	2115	846	423
	Other	1179	472	236
MALTA	101 41100	2304	922	461
MARSHALL				
	Kwajalein Atoll	1584	634	317
	Likiep Atoll	1017	407	203
	Majuro	1935	774	387
	Other	747	299	149
MARTINIQU	JE .	3276	1310	655
MAURITANI				
	Kaedi	657	263	131
	Nouadhibou	1179	472	236
			82	200

	Nouakchott	1944	778	389
	Other	792	317	158
MAURITIUS	8	1917	767	383
MEXICO				
	Acapulco	2358	943	472
	Cabo San Lucas	3429	1372	686
	Campeche	1116	446	223
	Cancun	2646	1058	529
	Chihuahua	1710	684	342
	Ciudad Juarez	1269	508	254
	Ciudad Victoria	1359	544	272
	Colima	1035	414	207
	Cozumel	2070	828	414
	Cuernavaca	1899	760	380
	Culiacan	1143	457	229
	Ensenada	1890	756	378
	Guadalajara	2160	864	432
	Hermosillo	1566	626	313
	Huatulco	1935	774	387
	Ixtapa Zihuatanejo	1683	673	337
	La Paz	1701	680	340
	Manzanillo	1404	562	281
	Matamoros	1359	544	272
	Mazatlan	1674	670	335
	Merida	1584	634	317
	Mexicali	1998	799	400
	Mexico City	2700	1080	540
	Monterrey	2313	925	463
	Morelia	1548	619	310
	Nogales	1737	695	347
	Nuevo Laredo Playa del Carmel, Quintana	1440	576	288
	Roo	1701	680	340
	Puebla	1782	713	356
	Puerto Penasco	1845	738	369
	Puerto Vallarta	2340	936	468
	Queretaro	1548	619	310
	San Carlos	1458	583	292
	San Miguel de Allende	1611	644	322
	Tapachula	1359	544	272
	Tijuana	1701	680	340
	Valle del Bravo	3033	1213	607
	Veracruz	1719	688	344
	Zacatecas	1773	709	355
	Other	1503	601	301
MICRONES	SIA, FED. STATES OF			
	Chuuk	1710	684	342
	Kosrae	1800	720	360
	Pohnpei	1593	637	319
	Yap .	1872	749	374
	Other	1710	684	342
MOLDOVA		2106	842	421
MONACO		5625	2250	1125
MONGOLIA	<b>\</b>			
	Ulaanbaatar	2493	997	499
	Other	1647	659	329
MONTENE	GRO	2907	1163	581

MOROC	CO			
	Agadir	2106	842	421
	Casablanca	2862	1145	572
	Fes	3087	1235	617
	Marrakech	2862	1145	572
	Rabat	2358	943	472
	Tangier	2502	1001	500
	Taroudant	2259	904	452
	Other	2052	821	410
MOZAME		1980	792	396
	Maputo	2304	922	461
	Pemba	1980	792	396
	Other	1980	792	396
NAMIBIA				
	Etosha	1692	677	338
	Swakopmund	2214	886	443
	Walvis Bay	1845	738	369
	Windhoek	2061	824	412
	Other	1620	648	324
NAURU		2241	896	448
NEPAL	Mathemania.	4047	707	000
	Kathmandu	1917	767	383
	Pokhara	1611	644	322
NETHER	Other	918	367	184
NETHER		2060	1500	704
	Amsterdam Coevorden	3969	1588	794 590
	Eindhoven	2898 3393	1159 1357	580 679
	Hague, The	3294	1318	659
	Lisse	3168	1267	634
	Maastricht	3942	1577	788
	Noordwijk	3141	1256	628
	Papendrecht	3384	1354	677
	Rotterdam	3384	1354	677
	Schiphol	3402	1361	680
	Utrecht	2979	1192	596
	Ypenburg	3294	1318	659
	Other	3195	1278	639
NETHER	RLANDS ANTILLES	0.00	0	
	Aruba	3123	1249	625
	Bonaire	2034	814	407
	Curacao	2745	1098	549
	Saba	2691	1076	538
	Sint Maarten	2709	1084	542
	Other	2034	814	407
NEW CA	LEDONIA	2601	1040	520
NEW ZE	ALAND			
	Auckland	3159	1264	632
	Christchurch	2781	1112	556
	Queenstown	2412	965	482
	Rotarua	2376	950	475
	Wellington	3042	1217	608
	Other	2304	922	461
NICARA	GUA			
	Corn Island			
	Managua	2142	857	428
	San Juan del Sur			
			0.4	

	Other	1377	551	275
NIGER	Other	1077	001	210
	Niamey	1872	749	374
	Other	1404	562	281
NIGERIA				
	Abuja	4572	1829	914
	Bauchi	2691	1076	538
	Calabar	2079	832	416
	Enugu	2214	886	443
	Ibadan	1422	569	284
	Jos	2205	882	441
	Kaduna	2277	911	455
	Kano	2997	1199	599
	Lagos	3447	1379	689
	Maiduguri	1674	670	335
	Sokoto	1521	608	304
	Warri	2115	846	423
	Yenagoa	2034	814	407
NIII II	Other	1674	670	335
NIUE NORWAY		1323	529	265
NORWAT	Oslo	3699	1480	740
	Stavanger	3537	1415	740
	Other	3699	1480	707 740
OMAN	Other	3099	1400	740
OWAN	Muscat	3348	1339	670
	Salah	2736	1094	547
	Other	2736	1094	547
PAKISTAN	Carior	2.00	1001	011
. ,	Faisalabad	1611	644	322
	Islamabad	990	396	198
	Karachi	2790	1116	558
	Lahore	3087	1235	617
	Peshawar	1809	724	362
	Quetta	1953	781	391
	Other	1953	781	391
PALAU		2583	1033	517
PANAMA				
	Colon	2286	914	457
	David, Chiriqui	1755	702	351
	Panama City	2286	914	457
	Other	1278	511	256
PAPUA NE\	W GUINEA			
	Port Moresby	5463	2185	1093
	Other	3519	1408	704
PARAGUAY				
	Asuncion	2304	922	461
	Ciudad del Este	1710	684	342
	Pegro Juan	1233	493	247
DED	Other	828	331	166
PERU	0	200=	4400	500
	Cusco	2997	1199	599
	Lima	3051	1220	610
	Paracas	2466	986	493
חווו וחסיגים	Other	1773	709	355
PHILIPPINE		4000	CEE	200
	Cebu	1638	655	328

	Davao City	1368	547	274
	Manila	2133	853	427
	Other	1692	677	338
DOLAND	Other	1092	077	330
POLAND				
	Gdansk	2655	1062	531
	Katowice	2790	1116	558
	Krakow	2655	1062	531
	Poznan	2466	986	493
	Warsaw	2448	979	490
	Wroclaw	2322	929	464
	Zakopane	2079	832	416
	Other	1908	763	382
PORTUGAL				
	Cascais	2124	850	425
	Estoril	2124	850	425
	Faial Island	1827	731	365
	Lisbon			
		2466	986	493
	Madeira Islands	1809	724	362
	Oeiras	2124	850	425
	Oporto	2007	803	401
	Ponta Delgada	2322	929	464
	Sao Miguel Island	2322	929	464
	Other	1737	695	347
CATAD	Other			
QATAR		3069	1228	614
REUNION		1989	796	398
ROMANIA				
	Bucharest	2718	1087	544
	Constanta	1593	637	319
	Other	1710	684	342
RUSSIA	Other	17.10	001	012
NOSSIA	Manager	2702	1505	750
	Moscow	3762	1505	752
	St. Petersburg	4374	1750	875
	Vladivostok	3015	1206	603
	Yuzhno-Sakhalinsk	3330	1332	666
	Other	2358	943	472
RWANDA				
	Akagera	2358	943	472
		1503	601	301
	Gisenyi			
	Kigali	2448	979	490
	Ruhengeri	1647	659	329
	Other	1503	601	301
SAINT HELE	ENA	621	248	124
SAINT KITT	S AND NEVIS	2754	1102	551
	ENT AND THE	2214	886	443
OAIIVI VIIVO	GRENADINES	2217	000	770
044404	GRENADINES	0700	4000	E40
SAMOA		2700	1080	540
SAN MARIN	IO	2277	911	455
SAO TOME	AND PRINCIPE			
	Principe	2745	1098	549
	Sao Tome	2439	976	488
SAUDI ARA		50	3.3	.30
S, ODI AIVA	Dhahran Area	3177	1271	635
	Jeddah	4077	1631	815
	Medina	2016	806	403
	Riyadh	4365	1746	873
	Taif	1890	756	378
	Other	4077	1631	815
			96	310

OFNEON				
SENEGAL	D .	2011	4400	500
	Dakar	2844	1138	569
	Mbour	1881	752	376
OFDDIA	Other	1485	594	297
SERBIA	Dolarado	2204	1000	641
	Belgrade	3204	1282	641
CEVCHELL	Other	1359	544	272
SEYCHELL		4023	1609	805
SIERRA LE		4755	700	254
	Freetown	1755	702	351
CINCADOD	Other	855	342	171 868
SINGAPOR		4338	1735	000
SLOVAK R		2070	1001	616
	Bratislava Zilina	3078 1710	1231 684	616 342
				405
SLOVENIA	Other	2025	810	405
SLOVEINIA	Portoroz	2637	1055	527
	Other	2763	1105	553
SOLOMON		2763 2754	1103	553 551
SOLOWON	ISLANDS	2734	1102	551
SOWALIA	Mogadishu	1872	749	374
	Other	1521	608	304
SOUTH AF		1321	000	304
SOUTHAR	Bloemfontein	2034	814	407
	Cape Town	3861	1544	772
	Durban	2277	911	455
	Johannesburg	2736	1094	547
	Pretoria	2385	954	477
	Sun City	2862	1145	572
	Other	2205	882	441
SOUTH SU		2448	979	490
SPAIN	DAN	2440	919	430
0171114	Almeria	2844	1138	569
	Balearic Islands	3267	1307	653
	Barcelona	3780	1512	756
	Bilbao	2457	983	491
	Fuengirola	2538	1015	508
	La Coruna	2484	994	497
	Las Palmas de Gran Canaria	2952	1181	590
	Madrid	3744	1498	749
	Malaga	2556	1022	511
	Marbella	2556	1022	511
	Oviedo	2196	878	439
	San Sebastian	2358	943	472
	Santa Cruz de Tenerife	2259	904	452
	Santander	2673	1069	535
	Santiago de Compostela	2790	1116	558
	Seville	2601	1040	520
	Valencia	2376	950	475
	Vigo	2403	961	481
	Zaragoza	3411	1364	682
	Other	2583	1033	517
SRI LANKA				
	Ahungalla	1512	605	302
	Bentota	1314	526	263
	Chilaw	1359	544	272

	Colombo	2970	1188	594
	Galle	1944	778	389
	Kandy	2214	886	443
	Trincomalee	2079	832	416
	Other	1368	547	274
ST LUCIA	34101	3240	1296	648
SUDAN		3240	1290	040
SUDAN	I/h auta una	2007	4505	707
	Khartoum	3987	1595	797
	Other	3465	1386	693
SURINAME		1683	673	337
SWAZILANI				
	Mbabane	2151	860	430
	Other	963	385	193
SWEDEN		4068	1627	814
SWITZERLA	AND			
	Basel	3942	1577	788
	Bern	4176	1670	835
	Davos	4230	1692	846
	Geneva	4482	1793	896
	Klosters	4248	1699	850
	Lugano	4014	1606	803
	Montreux	3816	1526	763
	Zurich	4185	1674	837
	Other	3636	1454	727
SYRIA		3096	1238	619
TAIWAN				
	Kaohsiung	1764	706	353
	Taichung	1647	659	329
	Taipei	2610	1044	522
	Other	1611	644	322
TAJIKISTAN		1011	011	022
IAJIKIOTAI	<b>v</b> Dushanbe	2925	1170	585
			922	
	Khorog	2304		461
	Kolub	1395	558	279
	Other	1395	558	279
TANZANIA				
	Dar es Salaam	2457	983	491
	Zanzibar	2457	983	491
	Other	1683	673	337
THAILAND				
	Bangkok	2241	896	448
	Chiang Mai	1908	763	382
	Chiang Rai	1170	468	234
	Hat Yai	1170	468	234
	Hua Hin	1998	799	400
				506
	Khao Lak	2529	1012	
	Krabi	2529	1012	506
	Nong Khai	954	382	191
	Pattaya City	1737	695	347
	Phuket	2529	1012	506
	Samui Island	2088	835	418
	Other	954	382	191
TIMOR-LES	TE			
	Dili	1890	756	378
	Other	801	320	160
TOGO	-	·		
	Lama Kara	801	320	160
	Lama Naia	JU 1	320	100

	Lome	2439	976	488
	Other	585	234	117
TOKELAU I	ISLANDS	342	137	68
TONGA		2466	986	493
TRINIDAD A	AND TOBAGO			
	Port of Spain	3141	1256	628
	Tobago	3717	1487	743
	Other	3141	1256	628
TUNISIA	Other	0111	1200	020
101110171	Carthage	1845	738	369
	Gammarth			
		1845	738	369
	Lamarsa	1845	738	369
	Tunis	1845	738	369
	Other	1251	500	250
TURKEY				
	Adana-Incirlik	2385	954	477
	Ankara	2871	1148	574
	Antalya	2547	1019	509
	Aydin	2376	950	475
	Bursa	2340	936	468
	Elmadag	2871	1148	574
	Istanbul	3870	1548	774
	Izmir-Cigli	2340	936	468
	Manzarali	2871	1148	574
	Nevsehir			
		2097	839	419
	Yamanlar	2340	936	468
	Other	1818	727	364
TURKMENI				
	Ashgabat	2322	929	464
	Other	1485	594	297
TURKS AN	D CAICOS ISLANDS	2619	1048	524
TUVALU		1746	698	349
UGANDA				
	Entebbe	2277	911	455
	Fort Portal	1206	482	241
	Gulu	972	389	194
	Jinja	2169	868	434
	Kampala	2835	1134	567
	Other	954	382	191
LIZDAINE	Other	304	302	191
UKRAINE	IZI- = alata	0000	000	4.45
	Kharkiv	2223	889	445
	Kyiv	3366	1346	673
	Other	2133	853	427
UNITED AF	RAB EMIRATES			
	Abu Dhabi	4734	1894	947
	Dubai	4131	1652	826
	Other	4734	1894	947
UNITED KII	NGDOM			
	Belfast	3348	1339	670
	Birmingham	2619	1048	524
	Bristol	3195	1278	639
	Cardiff, Wales	2826	1130	565
	Caversham	3330	1332	666
	Cheltenham	2799	1120	560
	Crawley	4491	1796	898
	Edinburgh	3123	1249	625
	Gatwick	3276	1310	655
			80	

	Glasgow	2790	1116	558
	Harrogate	2079	832	416
	High Wycombe	2736	1094	547
	Horley	3276	1310	655
	•			
	Liverpool	2538	1015	508
	London	4491	1796	898
	Loudwater	2700	1080	540
	Manchester	2952	1181	590
	Menwith Hill	2079	832	416
	Oxford	2511	1004	502
	Portsmouth	2295	918	459
	Reading	3330	1332	666
	Other	2295	918	459
URUGUAY				
	Colonia	2385	954	477
	Montevideo	2268	907	454
	Punta del Este	3285	1314	657
	Other	2268	907	454
UZBEKISTA		1485	594	297
UZBENISTA				
	Tashkent	2511	1004	502
	Other	1278	511	256
VANUATU	D41/0-	0070	4040	٥
	Port Vila	3276	1310	655
	Santos	2430	972	486
	Tanna Island	3204	1282	641
	Other	297	119	59
VENEZUEL	A			
	Barquisimeto	3492	1397	698
	Caracas	4194	1678	839
	Maracaibo	3618	1447	724
	Porlamar	3600	1440	720
	Puerto La Cruz	3150	1260	630
	Puerto Ordaz	3573	1429	715
	Punto Fijo	3267	1307	653
	Valencia	3420	1368	684
\/IETNIAN4	Other	2637	1055	527
VIETNAM	Dalat	0400	070	420
	Dalat	2196	878	439
	Danang	1674	670	335
	Hanoi	2502	1001	500
	Ho Chi Minh City	2754	1102	551
	Other	1863	745	373
VIRGIN ISL	ANDS, BRITISH	2403	961	481
WALLIS AN	D FUTUNA	1233	493	247
YEMEN				
	Aden	1998	799	400
	Sanaa	4095	1638	819
	Other	1557	623	311
ZAMBIA	0 11101	1007	020	011
27 ((1)(1)(1)	Livingstone	3051	1220	610
	Lusaka	2565	1026	513
71MD	Other	1575	630	315
ZIMBABWE		1000	760	200
	Bulawayo	1908	763	382
	Harare	3006	1202	601
	Victoria Falls	3699	1480	740
	Other	1593	637	319
			00	

# IMPORTANT – PLEASE READ FIRST U.S. Department of Education

# G5 e-Application Submission Procedures and Tips for Applicants

## http://www.G5.gov

To facilitate your use of G5 e-Application, this document includes important application preparation and submission procedures you need to be aware of to ensure your application is received in a timely manner and accepted by the Department of Education. Please read and follow these step-by-step directions to create and submit your application.

### ATTENTION

Applicants using the Department of Education's G5 e-Application system will need to register first to access an application package. Forms in an application package are completed on line and narratives are uploaded while logged into the system. Therefore, allow sufficient time to complete your application before the closing date. If you encounter difficulties, you may also contact the G5 helpdesk on 1-888-336-8930. The following are steps you should follow to successfully complete an application using G5 e-Application. Please note that there is a training module available on the G5 home page (<a href="https://www.G5.gov">www.G5.gov</a>) that details the registration and G5 application processes in detail for users new to G5. You can access this module under the Main Menu of the homepage and link to Online Training. Look for the training topic G5 for Applicants.

Step 1 – **Register in G5** to access the electronic application package. If you are a new user, you will need to register to use G5 e-Application.

- From the G5 Portal Page <a href="http://www.G5.gov/">http://www.G5.gov/</a>, click on the Sign Up button for non-ED employees. The User Registration Screen displays.
- Click the button to the right of the ED Employee/ED Contractor field to display the employee/contractor options. Select the "no" list option. Enter all required information as noted by red asterisks (\*).
- Click the continue button to proceed to the user registration agreement. Select the
  agree button to accept the terms of the user agreement, and then the Submit button.
   Note: If you do not agree, then you may not complete the registration process. The
  system displays a message indicating that the system will send a notification to your
  email address.
- The system sends a message with a link to activate your account. Clicking the link takes
  you to the Account Activation screen. Click the Agree option to accept the activation
  terms.
- Click on the Submit button to initiate your activation. Read the EDCAPS Security
  memorandum with the subject "G5 User ID and Password" and click the Continue >
  button. The next Account Activation screen will require you to complete the password,
  security question and security answer. Fill out the required fields and press the
  Continue button to move to the summary information.

Click the Activate button to activate your account and save your password and security information. The system displays a message indicating that the account has been activated. You will now need to log into G5 where you will be taken to the My Profile page where you should select your role for access. In the Availability Types field, select "Applicant" from the list and Continue. Proceed through the next screen and Submit. You will then receive an email with the G5 link. Your applicant role may take a few minutes to activate.

If you already have a username and password for G5 use them to login. If you have access to more than one G5 module, you will be directed to select which module you wish to enter. Keep in mind that this username and password will be used for all G5 modules. In order to update your registration for additional G5 modules, click the appropriate tab on the top of the screen and provide the requested information.

The site is viewed best using Internet Explorer 5.5 or higher.

- Step 2 **Add Application Package to your Start Page**. From your Home Page, go to Grant Setup and click on "Package Submission." Select the package for which you wish to apply and click on the "Initiate New Application" button. In the future, the package will now appear when you click on "Package Submission" or after using the "Click Here to view my Applications" link under "Quick View" on your Home Page.
- Step 3 **Begin the Application**. After going to the Application Package, click on the "Modify Application" button. This brings you to a page where you will see all of the application's forms and narratives listed.
- Step 4 **Fill out Forms**. Select the form you would like to complete and click on the "Edit Form" button to enter data. Remember to click the "Save" button at the bottom of the form and check the "Form Completed" box for each form as you complete it.
- Step 5 **Upload File(s) for Narrative Responses**. When prompted to attach narrative documents to application forms, enter the title of the document, and then Select the "Upload" button. Next, click on the "Browse" button to locate your file. Remember to click the "Save" button after you upload the document and check the "Form Completed" box when you finish uploading your file(s) and/or completing the form. Please note for file uploads, **we accept .pdf files only.**
- Step 6 **Verify Information/Submit your Application**. Verify your information is correct and complete before submitting. Only authorized individuals for your organization can submit an application. Please check with your certifying official or sponsored research office before submission. After all forms are completed, click on the "Continue" button at the bottom of your application. Enter and verify the Authorizing Representative information, and click the "Submit" button. At the top of the page you will see a confirmation message stating that your application was successfully submitted and providing you with your application number. You will also receive an e-mail to confirm that your application was received, and it will include your application number. Please print and keep this e-mail for your records. [Reminder: applications must be submitted before 4:30:00 pm, Washington, D.C. time, on the deadline date for applications. G5 e-Application will not accept your application if you try to submit it after 4:30:00 on the deadline date.]
- Step 7 **Printing Your Completed Application.** You have the option to print each form at any time by clicking on the "View Form" button after selecting the appropriate form to print. After submission of your application, you have the option to print a complete e-Application

package in PDF. From the Application Packages tab you will notice that your application status has changed from Draft to Submitted. To locate the PDF of your application, select the package radio button and click the "Modify Application" button. Then select the "Click Here to view the PDF Package" in the upper right hand corner of the page under the Package Information section.

Step 7 - Fax the signed SF 424 Cover Page (or Program Specific Cover Page). Write your unique application number (received in step 8) on the upper right corner of your printed SF 424 Cover Page (or Program Specific Cover Page), and fax it to the Application Control Center (202) 245-6272 within 3 business days of submitting your e-Application. This may be optional for some programs.

NOTE: For more detailed information on submitting an e-Application, please see the **User Guide**. The Online Training can be found under the main menu at <a href="http://www.G5.gov">http://www.G5.gov</a>.

## Attaching Files - Additional Tips

Please note the following tips related to attaching files to your application:

- 1. Ensure that you only attach the Education approved file type detailed in the Federal Register application notice (read-only, non-modifiable .PDF files only). Also, do not upload any password protected files to your application.
- 2. When attaching files, applicants should limit the size of their file names. Lengthy file names could result in difficulties with opening and processing your application. We recommend you keep your file names to less than 50 characters. In addition, applicants should avoid including special characters in their file names (for example, %, \*, /, etc.) Both of these conditions (lengthy file names and/or special characters including in the file names) could result in difficulties opening and processing a submitted application.
- 3. Applicants should limit the size of their file attachments. Documents submitted that contain graphics and/or scanned material often greatly increase the size of the file attachments and can result in difficulties opening the files. Please note that each file attachment in e-Application has a file size limitation which is anywhere from 2 to 8 MB and the limitation will be indicated on the individual screen when you upload a file. For reference, however, the average discretionary grant application package totals 1 to 2 MB. Therefore, you may want to check the size of your attachments before uploading them into e-Application.

## **Other Submission Tips**

**SUBMIT EARLY – We strongly recommend that you do not wait until the last day to submit your application.** The time it takes to upload the narratives for your application will vary depending on a number of factors including the size of the files and the speed of your Internet connection. If you try to submit your application after 4:30 PM EST on the deadline date, the e-Application system will not accept it.

1. If electronic submission is <u>optional</u> and you have problems that you are unable to resolve before the deadline date and time for electronic applications, please follow the transmittal instructions for hard copy applications in this notice and get a hard copy application postmarked by midnight on the deadline date.

If electronic submission is <u>required</u>, you must submit an electronic application before 4:30 PM unless you follow the procedures in this notice and qualify for one of the exceptions to the electronic submission requirement <u>and</u> submit, no later than two weeks before the application deadline date, a written statement to the Department that you qualify for one of these exceptions.

- 2. Dial-Up Internet Connections When using a dial up connection to upload and submit your application, it can take significantly longer than when you are connected to the Internet with a high-speed connection. While times will vary depending upon the size of your application, it can take a few minutes to a few hours to complete your grant submission using a dial up connection. If you do not have access to a high-speed connection and electronic submission is required, you may want to consider following the instructions in this notice to obtain an exception to the electronic submission requirement no later than two weeks before the application deadline date.
- 3. Exception to Electronic Submission Requirement: You qualify for an exception to the electronic submission requirement, and may submit your application in paper format, if you are unable to submit an application through G5 because—
  - · You do not have access to the Internet; or
  - You do not have the capacity to upload large documents to G5; and
  - No later than two weeks before the application deadline date (14 calendar days or, if the fourteenth calendar day before the application deadline date falls on a Federal holiday, the next business day following the Federal holiday), you mail or fax a written statement to the Department, explaining which of the two grounds for an exception prevents you from using the Internet to submit your application. If you mail your written statement to the Department, it must be postmarked no later than two weeks before the application deadline date. If you fax your written statement to the Department, we must receive the faxed statement no later than two weeks before the application deadline date.

Address and mail or fax your statement to: Tim Duvall, U.S. Department of Education, 1990 K Street NW, Room 6069, Washington, D.C. 20006. <u>FAX:</u> (202) 502-7859 or (202) 502-7622.

Your paper application must be submitted in accordance with the mail or hand delivery instructions described in this notice.

## a. Submission of Paper Applications by Mail

If you qualify for an exception to the electronic submission requirement, you may mail (through the U.S. Postal Service or a commercial carrier) your application to the Department. You must mail the original and two copies of your application, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education
Application Control Center
Attention: (CFDA Number 84.018A)
LBJ Basement Level 1
400 Maryland Avenue, SW.
Washington, DC 20202-4260

You must show proof of mailing consisting of one of the following:

- (1) A legibly dated U.S. Postal Service postmark
- (2) A legible mail receipt with the date of mailing stamped by the U.S. Postal Service
- (3) A dated shipping label, invoice, or receipt from a commercial carrier.
- (4) Any other proof of mailing acceptable to the Secretary of the U.S.

## Department of Education

If you mail your application through the U.S. Postal Service, we do <u>not</u> accept either of the following as proof of mailing:

- (1) A private metered postmark
- (2) A mail receipt that is not dated by the U.S. Postal Service

If your application is postmarked after the application deadline date, we will not consider your application.

<u>Note</u>: The U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, you should check with your local post office.

## b. Submission of Paper Applications by Hand Delivery

If you qualify for an exception to the electronic submission requirement, you (or a courier service) may deliver your paper application to the Department by hand. You must deliver the original and two copies of your application, by hand, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education Application Control Center Attention: (CFDA Number 84.018A) 550 12th Street, SW. Room 7041, Potomac Center Plaza Washington, DC 20202-4260

The Application Control Center accepts hand deliveries daily between 8:00 a.m. and 4:30 p.m., Washington, D.C. time, except Saturdays, Sundays, and Federal holidays.

Note for Mail or Hand Delivery of Paper Applications: If you mail or hand deliver your application to the Department--

- (1) You must indicate on the envelope and--if not provided by the Department--in Item 11 of the SF 424 the CFDA number, including suffix letter, if any, of the competition under which you are submitting your application; and
- (2) The Application Control Center will mail to you a notification of receipt of your grant application. If you do not receive this grant notification within 15 business days from the application deadline date, you should call the U.S. Department of Education Application Control Center at (202) 245-6288.

OMB Number: 4040-0004 Expiration Date: 03/31/2012

Application for Federal Assistance SF-424							
*1. Type of Submission:	*2. Type of Application	* If Revision, select appropriate letter(s):					
☐ Preapplication	☐ New						
☐ Application	☐ Continuation	*Other (Specify):					
☐ Changed/Corrected Application	Revision						
* 3. Date Received: 4.	Applicant Identifier:						
5a. Federal Entity Identifier:	,	*5b. Federal Award Identifier:					
State Use Only:							
6. Date Received by State:	7. State Appli	ication Identifier:					
8. APPLICANT INFORMATION:							
*a. Legal Name:	-						
*b. Employer/Taxpayer Identification N	lumber (EIN/TIN):	*c. Organizational DUNS:					
d. Address:	11						
*Street 1:							
Street 2:							
*City:							
County/Parish:							
*State:							
Province:							
*Country:							
*Zip / Postal Code:							
e. Organizational Unit:							
Department Name:		Division Name:					
f. Name and contact information of	person to be contacte	d on matters involving this application:					
Prefix:	*First Name:						
Middle Name:							
*Last Name:							
Suffix:							
Title:	Title:						
Organizational Affiliation:	Organizational Affiliation:						
*Telephone Number:		Fax Number:					
*Email:							

3. Type of Applicant 1: Select Applicant Type: Type of Applicant 2: Select Applicant Type: Type of Applicant 3: Select Applicant Type:  'Other (Specify)  *10 Namo of Federal Agency:  11. Catalog of Federal Domestic Assistance Number:  CFDA Title:  *12 Funding Opportunity Number:  13. Competition Identification Number:  Title:  14. Areas Affected by Project (Cities, Counties, States, etc.):  *15. Descriptive Title of Applicant's Project:  Altach supporting documents as specified in agency instructions.	Application for Federal Assistance SF-424
Type of Applicant 3: Select Applicant Type:  **Other (Specify)  **10 Name of Federal Agency:	9. Type of Applicant 1: Select Applicant Type:
Type of Applicant 3: Select Applicant Type:  **Other (Specify)  **10 Name of Federal Agency:	
**10 Name of Federal Agency:    11. Catalog of Federal Domestic Assistance Number:	Type of Applicant 2: Select Applicant Type:
*10 Name of Federal Agency:  11. Catalog of Federal Domestic Assistance Number:  CFDA Title:  *12 Funding Opportunity Number:	Type of Applicant 3: Select Applicant Type:
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11. Catalog of Federal Domestic Assistance Number:  CFDA Title:  *12 Funding Opportunity Number:  *Title:  Title:  13. Competition Identification Number:  Title:  *14. Areas Affected by Project (Cities, Counties, States, etc.):  *15. Descriptive Title of Applicant's Project:	*10 Name of Federal Agency:
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	14. Areas Affected by Project (Cities, Counties, States, etc.):
Attach supporting documents as specified in agency instructions.	*15. Descriptive Title of Applicant's Project:
Attach supporting documents as specified in agency instructions.	
Attach supporting documents as specified in agency instructions.	
Attach supporting documents as specified in agency instructions.	
Attach supporting documents as specified in agency instructions.	
	Attach supporting documents as specified in agency instructions.

Application for Federal Assistance S	F-424				
16. Congressional Districts Of:					
*a. Applicant:	*b. Program/Project:				
Attach an additional list of Program/Project Congr	essional Districts if needed.				
17. Proposed Project:					
*a. Start Date:	*b. End Date:				
18. Estimated Funding (\$):					
*a. Federal					
*b. Applicant					
*c. State					
*d. Local					
*e. Other					
*f. Program Income					
*g. TOTAL					
*19. Is Application Subject to Review By	State Under Executive Order 12372 Process?				
a. This application was made available t	o the State under the Executive Order 12372 Process for review on				
☐ b. Program is subject to E.O. 12372 but	has not been selected by the State for review.				
☐ c. Program is not covered by E.O. 12372	2.				
*20. Is the Applicant Delinquent On Any	Federal Debt? (If "Yes," provide explanation in attachment.)				
☐ Yes ☐ No					
If "Yes", provide explanation and attach.					
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)  ** I AGREE  ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.					
Authorized Representative:					
Prefix:	*First Name:				
Middle Name:  *Last Name:					
	-				
Suffix:					
*Title:					
*Telephone Number:	Fax Number:				
* Email:					
*Signature of Authorized Representative:	*Date Signed:				

## **INSTRUCTIONS FOR THE SF-424**

This is a standard form required for use as a cover sheet for submission of pre-applications and applications and related information under discretionary programs. Some of the items are required and some are optional at the discretion of the applicant or the federal agency (agency). Required fields on the form are identified with an asterisk (\*) and are also specified as "Required" in the instructions below. In addition to these instructions, applicants must consult agency instructions to determine other specific requirements.

Item	Entry:	Item:	Entry:
1.	Type of Submission: (Required) Select one type of submission in accordance with agency instructions.  • Pre-application  • Application	10.	Name Of Federal Agency: (Required) Enter the name of the federal agency from which assistance is being requested with this application.
	Changed/Corrected Application – Check if this submission is to change or correct a previously submitted application. Unless requested by the agency, applicants may not use this form to submit changes after the closing date.	11.	Catalog Of Federal Domestic Assistance Number/Title: Enter the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested, as found in the program announcement, if applicable.
2.	<ul> <li>Type of Application: (Required) Select one type of application in accordance with agency instructions.</li> <li>New – An application that is being submitted to an agency for the first time.</li> </ul>	12.	Funding Opportunity Number/Title: (Required) Enter the Funding Opportunity Number and title of the opportunity under which assistance is requested, as found in the program announcement.
	<ul> <li>Continuation - An extension for an additional funding/budget period for a project with a projected completion date. This can include renewals.</li> <li>Revision - Any change in the federal government's financial obligation or contingent liability from an existing obligation. If a revision, enter the appropriate letter(s). More than one may be</li> </ul>	13.	Competition Identification Number/Title: Enter the competition identification number and title of the competition under which assistance is requested, if applicable.
	selected. If "Other" is selected, please specify in text box provided.  A. Increase Award B. Decrease Award C. Increase Duration  E. Other (specify)	14.	Areas Affected By Project: This data element is intended for use only by programs for which the area(s) affected are likely to be different than the place(s) of performance reported on the SF-424 Project/Performance Site Location(s) Form. Add attachment to enter additional areas, if needed.
3.	<b>Date Received:</b> Leave this field blank. This date will be assigned by the Federal agency.	15.	<b>Descriptive Title of Applicant's Project:</b> (Required) Enter a brief descriptive title of the project. If appropriate, attach a map showing project location (e.g., construction or real property projects). For pre-applications, attach a summary description of the project.
4.	Applicant Identifier: Enter the entity identifier assigned buy the Federal agency, if any, or the applicant's control number if applicable.		
5a. 5b.	Federal Entity Identifier: Enter the number assigned to your organization by the federal agency, if any.  Federal Award Identifier: For new applications leave blank. For a continuation or revision to an existing award, enter the previously assigned federal award identifier number. If a changed/corrected application, enter the federal identifier in	16.	Congressional Districts Of: 15a. (Required) Enter the applicant's congressional district. 15b. Enter all district(s) affected by the program or project. Enter in the format: 2 characters state abbreviation – 3 characters district number, e.g., CA-005 for California 5th district, CA-012 for California 12 district, NC-103 for North Carolina's 103 district. If all congressional districts in a state are affected, enter "all" for the district number, e.g., MD-all for all
6.	accordance with agency instructions. <b>Date Received by State:</b> Leave this field blank. This date will be assigned by the state, if applicable.		congressional districts in Maryland. If nationwide, i.e. all districts within all states are affected, enter US-all. If the program/project is
7.     8.	State Application Identifier: Leave this field blank. This identifier will be assigned by the state, if applicable.  Applicant Information: Enter the following in accordance with agency instructions:		outside the US, enter 00-000. This optional data element is intended for use only by programs for which the area(s) affected are likely to be different than place(s) of performance reported on the SF-424 Project/Performance Site Location(s) Form. Attach an additional list of program/project congressional districts, if needed.
	a. Legal Name: (Required) Enter the legal name of applicant that will undertake the assistance activity. This is the organization that has registered with the Central Contractor Registry (CCR). Information on registering with CCR may be obtained by visiting www.Grants.gov.	17.	Proposed Project Start and End Dates: (Required) Enter the proposed start date and end date of the project.
	<b>b. Employer/Taxpayer Number (EIN/TIN):</b> (Required) Enter the employer or taxpayer identification number (EIN or TIN) as assigned by the Internal Revenue Service. If your organization is not in the US, enter 44-4444444.	18.	Estimated Funding: (Required) Enter the amount requested, or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions should be included on appropriate lines, as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses.
	c. Organizational DUNS: (Required) Enter the organization's DUNS or DUNS+4 number received from Dun and Bradstreet. Information on obtaining a DUNS number may be obtained by visiting www.Grants.gov.	19.	Is Application Subject to Review by State Under Executive Order 12372 Process? (Required) Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmental review process. Select the appropriate box. If "a." is selected, enter the date the application was submitted to the State.

	d. Address: Enter address: Stree County/Parish, State (Required if Country (Required), 9-digit zip/pos US).	country is US), Province,	20.	Is the Applicant Delinquent on any Federal Debt? (Required) Select the appropriate box. This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of federal debt include; but, may not be limited to: delinquent audit disallowances, loans and taxes. If yes, include an explanation in an attachment.
	e. Organizational Unit: Enter the name of the primary organizational unit, department or division that will undertake the assistance activity.  f. Name and contact information of person to be contacted on matters involving this application: Enter the first and last name (Required); prefix, middle name, suffix, title. Enter organizational affiliation if affiliated with an organization other than that in 7.a. Telephone number and email (Required); fax number.			Authorized Representative: To be signed and dated by the authorized representative of the applicant organization. Enter the first and last name (Required); prefix, middle name, suffix. Enter title, telephone number, email (Required); and fax number. A copy of the governing body's authorization for you to sign this application as the official representative must be on file in the applicant's office. (Certain federal agencies may require that this authorization be submitted as part of the application.)
9.	Type of Applicant: (Required) Sele in accordance with agency instruc			
	A. State Government B. County Government C. City or Township Government D. Special District Government E. Regional Organization F. U.S. Territory or Possession G. Independent School District H. Public/State Controlled Institution of Higher Education I. Indian/Native American Tribal Government (Federally Recognized) J. Indian/Native American Tribal Government (Other than Federally Recognized) K. Indian/Native American Tribally Designated Organization L. Public/Indian Housing Authority	M. Nonprofit N. Private Institution of Higher Education O. Individual P. For-Profit Organization (Other than Small Business) Q. Small Business R. Hispanic-serving Institution S. Historically Black Colleges and Universities (HBCUs) T. Tribally Controlled Colleges and Universities (TCCUs) U. Alaska Native and Native Hawaiian Serving Institutions V. Non-US Entity W. Other (specify)		

# SUPPLEMENTAL INFORMATION REQUIRED FOR DEPARTMENT OF EDUCATION

## 1. Project Director: Prefix: \*First Name: Middle Name: \*Last Name: Suffix: Address: \* Street1: Street2: \* City: County: \* Zip Code: \* Country: \* State \* Phone Number (give area code) Fax Number (give area code) Email Address: 2. Applicant Experience: ☐ No Novice Applicant Yes Not applicable to this program 3. Human Subjects Research: Are any research activities involving human subjects planned at any time during the proposed project Period? □ No Yes Are ALL the research activities proposed designated to be exempt from the regulations? Provide Exemption(s) #: Yes Provide Assurance #, if available: Please attach an explanation Narrative:

Add

OMB Control No. 1894-0007 Expiration Date: 07/31/2013

Delete Attachment

View

## INSTRUCTIONS FOR DEPARTMENT OF EDUCATION SUPPLEMENTAL INFORMATION FOR SF 424

- 1. **Project Director.** Name, address, telephone and fax numbers, and e-mail address of the person to be contacted on matters involving this application.
- 2. Novice Applicant. Check "Yes" or "No" only if assistance is being requested under a program that gives special consideration to novice applicants. Otherwise, leave blank.

Check "Yes" if you meet the requirements for novice applicants specified in the regulations in 34 CFR 75.225 and included on the /attached page entitled "Definitions for Department of Education Supplemental Information for SF 424." By checking "Yes" the applicant certifies that it meets these novice applicant requirements. Check "No" if you do not meet the requirements for novice applicants.

**3. Human Subjects Research.** (See I. A. "Definitions" in attached page entitled "Definitions for Department of Education Supplemental Information For SF 424.")

**If Not Human Subjects Research.** Check "No" if research activities involving human subjects are not planned at any time during the proposed project period. The remaining parts of Item 3 are then not applicable.

**If Human Subjects Research.** Check "**Yes**" if research activities involving human subjects are planned at any time during the proposed project period, either at the applicant organization or at any other performance site or collaborating institution. Check "**Yes**" even if the research is exempt from the regulations for the protection of human subjects. (See I. B. "Exemptions" in attached page entitled "Definitions for Department of Education Supplemental Information For SF 424.")

- **3a.** If Human Subjects Research is Exempt from the Human Subjects Regulations. Check "Yes" if all the research activities proposed are designated to be exempt from the regulations. Insert the exemption number(s) corresponding to one or more of the six exemption categories listed in I. B. "Exemptions." In addition, follow the instructions in II. A. "Exempt Research Narrative" in the attached page entitled "Definitions for Department of Education Supplemental Information For SF 424."
- **3a.** If Human Subjects Research is Not Exempt from Human Subjects Regulations. Check "No" if some or all of the planned research activities are covered (not exempt). In addition, follow the instructions in II. B. "Nonexempt Research Narrative" in the page entitled "Definitions for Department of Education Supplemental Information For SF 424
- **3a.** Human Subjects Assurance Number. If the applicant has an approved Federal Wide (FWA) on file with the Office for Human /Research Protections (OHRP), U.S. Department of Health and Human Services, that covers the specific activity, insert the number in the space provided. If the applicant does not have an approved assurance on file with OHRP, enter "None." In this case, the applicant, by signature on the SF-424, is declaring that it will comply with 34 CFR 97 and proceed to obtain the human subjects assurance upon request by the designated ED official. If the application is recommended/selected for funding, the designated ED official will request that the applicant obtain the assurance within 30 days after the specific formal request.

**Note about Institutional Review Board Approval.** ED does not require certification of Institutional Review Board approval with the application. However, if an application that involves non-exempt human subjects research is recommended/selected for funding, the designated ED official will request that the applicant obtain and send the certification to ED within 30 days after the formal request.

Paperwork Burden Statement. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1894-0007. The time required to complete this information collection is estimated to average between 15 and 45 minutes per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, 400 Maryland Avenue, S.W., Washington, D.C. 20202-4537. If you have comments or concerns regarding the status of your individual submission of this form write directly to: Application Control Center, U.S. Department of Education, Potomac Center Plaza, 550 12<sup>th</sup> Street, S.W. Room 7076, Washington, D.C. 20202-4260.

## DEFINITIONS FOR DEPARTMENT OF EDUCATION SUPPLEMENTAL INFORMATION FOR SF 424

(Attachment to Instructions for Supplemental Information for SF 424)

### **Definitions:**

**Novice Applicant (See 34 CFR 75.225).** For discretionary grant programs under which the Secretary gives special consideration to novice applications, a novice applicant means any applicant for a grant from ED that—

- Has never received a grant or subgrant under the program from which it seeks funding;
- Has never been a member of a group application, submitted in accordance with 34 CFR 75.127-75.129, that received a grant under the program from which it seeks funding; and
- Has not had an active discretionary grant from the Federal government in the five years before the deadline date for applications under the program. For the purposes of this requirement, a grant is active until the end of the grant's project or funding period, including any extensions of those periods that extend the grantee's authority to obligate funds.

In the case of a group application submitted in accordance with 34 CFR 75.127-75.129, a group includes only parties that meet the requirements listed above.

## 1. PROTECTION OF HUMAN SUBJECTS IN RESEARCH

### I. Definitions and Exemptions

## A. Definitions.

A research activity involves human subjects if the activity is research, as defined in the Department's regulations, and the research activity will involve use of human subjects, as defined in the regulations.

## -Research

The ED Regulations for the Protection of Human Subjects, Title 34, Code of Federal Regulations, Part 97, define research as "a systematic investigation, including research development, testing and evaluation, designed to develop or contribute to generalizable knowledge." *If an activity follows a deliberate plan whose purpose is to develop or contribute to generalizable knowledge it is research.* Activities which meet this definition constitute research whether or not they are conducted or supported under a program that is considered research for other purposes. For example, some demonstration and service programs may include research activities.

## -Human Subject

The regulations define human subject as "a living individual about whom an investigator (whether professional or student) conducting research obtains (1) data through intervention or interaction with the individual, or (2) identifiable private information." (1) If an activity involves obtaining information about a living person by manipulating that person or that person's environment, as might occur when a new instructional technique is tested, or by communicating or interacting with the individual, as occurs with surveys and interviews, the definition of human subject is met. (2) If an activity involves obtaining private information about a living person in such a way that the information can be linked to that individual (the identity of the subject is or may be readily determined by the investigator or associated with the information), the definition of human subject is met. [Private information includes information about behavior that occurs in a context in which an individual can reasonably expect that no observation or recording is taking place, and information which has been provided for specific purposes by an individual and which the individual can reasonably expect will not be made public (for example, a school health record).]

## B. Exemptions.

Research activities in which the <u>only</u> involvement of human subjects will be in one or more of the following six categories of *exemptions* are not covered by the regulations:

- (1) Research conducted in established or commonly accepted educational settings, involving normal educational practices, such as (a) research on regular and special education instructional strategies, or (b) research on the effectiveness of or the comparison among instructional techniques, curricula, or classroom management methods.
- (2) Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior, unless: (a) information obtained is recorded in such a manner that human subjects can be identified, directly or through identifiers linked to the subjects; and (b) any disclosure of the human subjects' responses outside the research could reasonably place the subjects at risk of criminal or civil liability or be damaging to the subjects' financial standing, employability, or reputation. If the subjects are children, exemption 2 applies only to research involving educational tests and observations of public behavior when the investigator(s) do not participate in the activities being observed.

Exemption 2 does not apply if children are surveyed or interviewed or if the research involves observation of public behavior and the investigator(s) participate in the activities being observed. [Children are defined as persons who have not attained the legal age for consent to treatments or procedures involved in the research, under the applicable law or jurisdiction in which the research will be conducted.]

- (3) Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior that is not exempt under section (2) above, if the human subjects are elected or appointed public officials or candidates for public office; or federal statute(s) require(s) without exception that the confidentiality of the personally identifiable information will be maintained throughout the research and thereafter.
- (4) Research involving the collection or study of existing data, documents, records, pathological specimens, or diagnostic specimens, if these sources are publicly available or if the information is recorded by the investigator in a manner that subjects cannot be identified, directly or through identifiers linked to the subjects.
- (5) Research and demonstration projects which are conducted by or subject to the approval of department or agency heads, and which are designed to study, evaluate, or otherwise examine: (a) public benefit or service programs; (b) procedures for obtaining benefits or services under those programs; (c) possible changes in or alternatives to those programs or procedures; or (d) possible changes in methods or levels of payment for benefits or services under those programs.
- (6) Taste and food quality evaluation and consumer acceptance studies, (a) if wholesome foods without additives are consumed or (b) if a food is consumed that contains a food ingredient at or below the level and for a use found to be safe, or agricultural chemical or environmental contaminant at or below the level found to be safe, by the Food and Drug Administration or approved by the Environmental Protection Agency or the Food Safety and Inspection Service of the U.S. Department of Agriculture.

## II. Instructions for Exempt and Nonexempt Human Subjects Research Narratives

If the applicant marked "Yes" for Item 3 of Department of Education Supplemental Information for SF 424, the applicant must provide a human subjects "exempt research" or "nonexempt research" narrative. Insert the narrative(s) in the space provided. If you have multiple projects and need to provide more than one narrative, be sure to label each set of responses as to the project they address.

### A. Exempt Research Narrative.

If you marked "Yes" for item 3 a. and designated exemption numbers(s), provide the "exempt research" narrative. The narrative must contain sufficient information about the involvement of human subjects in the proposed research to

allow a determination by ED that the designated exemption(s) are appropriate. The narrative must be succinct.

### B. Nonexempt Research Narrative.

If you marked "No" for item 3 a. you must provide the "nonexempt research" narrative. The narrative must address the following seven points. Although no specific page limitation applies to this section of the application, be succinct.

- (1) **Human Subjects Involvement and Characteristics**: Provide a detailed description of the proposed involvement of human subjects. Describe the characteristics of the subject population, including their anticipated number, age range, and health status. Identify the criteria for inclusion or exclusion of any subpopulation. Explain the rationale for the involvement of special classes of subjects, such as children, children with disabilities, adults with disabilities, persons with mental disabilities, pregnant women, prisoners, institutionalized individuals, or others who are likely to be vulnerable
- (2) **Sources of Materials**: Identify the sources of research material obtained from individually identifiable living human subjects in the form of specimens, records, or data. Indicate whether the material or data will be obtained specifically for research purposes or whether use will be made of existing specimens, records, or data.
- (3) Recruitment and Informed Consent: Describe plans for the recruitment of subjects and the consent procedures to be followed. Include the circumstances under which consent will be sought and obtained, who will seek it, the nature of the information to be provided to prospective subjects, and the method of documenting consent. State if the Institutional Review Board (IRB) has authorized a modification or waiver of the elements of consent or the requirement for documentation of consent.
- (4) **Potential Risks**: Describe potential risks (physical, psychological, social, legal, or other) and assess their likelihood and seriousness. Where appropriate, describe alternative treatments and procedures that might be advantageous to the subjects.
- (5) **Protection Against Risk**: Describe the procedures for protecting against or minimizing potential risks, including risks to confidentiality, and assess their likely effectiveness. Where appropriate, discuss provisions for ensuring necessary medical or professional intervention in the event of adverse effects to the subjects. Also, where appropriate, describe the provisions for monitoring the data collected to ensure the safety of the subjects.
- (6) **Importance of the Knowledge to be Gained**: Discuss the importance of the knowledge gained or to be gained as a result of the proposed research. Discuss why the risks to subjects are reasonable in relation to the anticipated benefits to subjects and in relation to the importance of the knowledge that may reasonably be expected to result.

(7) **Collaborating Site(s)**: If research involving human subjects will take place at collaborating site(s) or other performance site(s), name the sites and briefly describe their involvement or role in the research.

Copies of the Department of Education's Regulations for the Protection of Human Subjects, 34 CFR Part 97 and other pertinent materials on the protection of human subjects in research are available from the U.S. Department of Education, Protection of Human Subjects Coordinator, Office of the Chief Financial Officer, LBJ Building, 400 Maryland Avenue, SW, Washington, D.C. 20202-4250, telephone: (202) 260-3353, and on the U.S. Department of Education's Protection of Human Subjects in Research Web Site: http://www.ed.gov/about/offices/list/ocfo/humansub.html

NOTE: The **State Applicant Identifier** on the SF 424 is for State Use only. Please complete it on the OMB Standard 424 in the upper right corner of the form (if applicable).

## **ITEM 22**

## NOTICE TO ALL APPLICANTS

The purpose of this enclosure is to inform you about a new provision in the Department of Education's General Education Provisions Act (GEPA) that applies to applicants for new grant awards under Department programs. This provision is Section 427 of GEPA, enacted as part of the Improving America's Schools Act of 1994 (Public Law (P.L.) 103-382).

## To Whom Does This Provision Apply?

Section 427 of GEPA affects applicants for new grant awards under this program. ALL APPLICANTS FOR NEW AWARDS MUST INCLUDE INFORMATION IN THEIR APPLICATIONS TO ADDRESS THIS NEW PROVISION IN ORDER TO RECEIVE FUNDING UNDER THIS PROGRAM.

(If this program is a State-formula grant program, a State needs to provide this description only for projects or activities that it carries out with funds reserved for Statelevel uses. In addition, local school districts or other eligible applicants that apply to the State for funding need to provide this description in their applications to the State for funding. The State would be responsible for ensuring that the school district or other local entity has submitted a sufficient section 427 statement as described below.)

## What Does This Provision Require?

Section 427 requires each applicant for funds (other than an individual person) to include in its application a description of the steps the applicant proposes to take to ensure equitable access to, and participation in, its Federally-assisted program for students, teachers, and other program beneficiaries with special needs. This provision allows applicants discretion in developing the required description. The statute highlights six types of barriers that can impede equitable access or participation: gender, race, national origin, color, disability, or age. Based on local circumstances, you should determine whether these or other barriers may prevent your students, teachers, etc. from such access or participation in, the Federally-funded project or activity. The description in your application of steps to be taken to overcome these barriers need not be lengthy; you may provide a clear and succinct description of how you plan to address those barriers that are applicable to your circumstances. In addition, the information may be provided in a single narrative, or, if appropriate, may be discussed in connection with related topics in the application.

Section 427 is not intended to duplicate the requirements of civil rights statutes, but rather to ensure that, in designing their projects, applicants for Federal funds address equity concerns that may affect the ability of certain potential beneficiaries to fully participate in the project and to achieve to high standards. Consistent with program requirements and its approved application, an applicant may use the Federal funds awarded to it to eliminate barriers it identifies.

## What are Examples of How an Applicant Might Satisfy the Requirement of This Provision?

The following examples may help illustrate how an applicant may comply with Section 427.

- (1) An applicant that proposes to carry out an adult literacy project serving, among others, adults with limited English proficiency, might describe in its application how it intends to distribute a brochure about the proposed project to such potential participants in their native language.
- (2) An applicant that proposes to develop instructional materials for classroom use might describe how it will make the materials available on audio tape or in braille for students who are blind.
- (3) An applicant that proposes to carry out a model science program for secondary students and is concerned that girls may be less likely than boys to enroll in the course, might indicate how it intends to conduct "outreach" efforts to girls, to encourage their enrollment.

We recognize that many applicants may already be implementing effective steps to ensure equity of access and participation in their grant programs, and we appreciate your cooperation in responding to the requirements of this provision.

## **Estimated Burden Statement for GEPA Requirements**

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1894-0005. The time required to complete this information collection is estimated to average 1.5 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Education, 400 Maryland Avenue, S.W., Washington, D.C. 20202-4537.

## **ASSURANCES - NON-CONSTRUCTION PROGRAMS**

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503

## PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

**Note:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

- 1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management, and completion of the project described in this application.
- Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
- 4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. "4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
- 6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. "1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. '794), which prohibits discrimination on the basis of

- handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. " 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) " 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. " 290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. ' 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
- 7. Will comply, or has already complied, with the requirements of Titles II and III of the uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- 8. Will comply, as applicable, with the provisions of the Hatch Act (5 U.S.C. "1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

- 9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. "276a to 276a-7), the Copeland Act (40 U.S.C. '276c and 18 U.S.C. "874) and the Contract Work Hours and Safety Standards Act (40 U.S.C. " 327-333), regarding labor standards for federally assisted construction subagreements.
- 10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. "1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. "7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).

- 12 Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. "1721 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
- 13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. '470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. "469a-1 et seq.).
- 14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
- 15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. "2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
- Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. "4801 et seq.) which prohibits the use of leadbased paint in construction or rehabilitation of residence structures.
- 17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, AAudits of States, Local Governments, and Non-Profit Organizations.@
- 18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE	
APPLICANT ORGANIZATION		DATE SUBMITTED

Standard Form 424B (Rev. 7-97) Back

## CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans and Cooperative Agreements.

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal Loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.
- 2) If any funds other Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loam or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance.

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee or any agency, a member of Congress, an officer or employee of Congress or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Applicant's Organization		
Printed Name of Authorized Representative Representative	Printed Title of Authorized	
Signature	Date	
ED 80-0013	08	/05

**Disclosure of Lobbying Activities**Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 (See reverse for public burden disclosure)

1. Type of Federal Action:  a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	2. Status of Fed a. bid/offer/applicat b. initia c. post-	ion al award	3. Report Type:  a. initial filing  b. material change  For material change only:  Year quarter Date of last report
4. Name and Address of Reportin Prime Subawardee Tier	, if Known:	Enter Nam	ng Entity in No. 4 is Subawardee, e and Address of Prime:
Congressional District, if known 6. Federal Department/Agency:	vn:		ional District, if known: ogram Name/Description:
7. Federal Action Number, if know  10. a. Name and Address of Lobby  (if individual, last name, first name)	ring Registrant	CFDA Number  9. Award Ama  \$ b. Individuals address if differ	r, if applicable:
11. Information requested through this for title 31 U.S.C. section 1352. This disclosur activities is a material representation of far reliance was placed by the tier above when was made or entered into. This disclosure is pursuant to 31 U.S.C. 1352. This informati to the Congress semi-annually and will be inspection. Any person who fails to file the disclosure shall be subject to a civil penalty \$10,000 and not more than \$100,000 for ea	e of lobbying ct upon which this transaction is required on will be reported available for public required y of not less than	Signature: Print Name: _ Title:	
2. Federal Use Only		Authorized for Standard Form -	r Local Reproduction LLL (Rev. 7-97)

### INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

- *I.* Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
- 2. Identify the status of the covered Federal action.
- 3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
- 4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
- 5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
- 6. Enter the name of the federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
- 7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
- 8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitations for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Included prefixes, e.g., "RFP-DE-90-001."
- 9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
- 10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
  - (b) Enter the full names of the individual(s) performing services, and include full address if different from 10(a). Enter Last Name. First Name. and Middle Initial (MI).
- 11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503

## **Government Performance and Results Act (GPRA)**

### What is GPRA?

The Government Performance and Results Act of 1993 (GPRA) is a straightforward statute that requires all federal agencies to manage their activities with attention to the consequences of those activities. Each agency is to clearly state what it intends to accomplish, identify the resources required, and periodically report their progress to the Congress. In so doing, it is expected that the GPRA will contribute to improvements in accountability for the expenditures of public funds, improve Congressional decision-making through more objective information on the effectiveness of federal programs, and promote a new government focus on results, service delivery, and customer satisfaction.

## How has the Department of Education Responded to the GPRA Requirements?

As required by GPRA, the Department of Education has prepared a strategic plan for 2007-2012. This plan reflects the Department's priorities and integrates them with its mission and program authorities and describes how the Department will work to improve education for all children and adults in the U.S. The Department's goals, as listed in the plan, are:

- Goal 1: Increase student achievement, reward qualified teachers, and renew troubled schools so that every student can read and do math at grade level by 2014, as called for by the No Child Left Behind Act.
- Goal 2: Encourage more rigorous and advanced coursework to improve the academic performance of our middle and high school students.
- Goal 3: Work with colleges and universities to improve access, affordability, and accountability, so that our higher education system remains the world's finest.

The performance indicators for the International Education Programs are part of the Department's plan for meeting Goal 3.

## What is the Performance Indicator for the Fulbright Hays Doctoral Dissertation Research Abroad Program?

The Fulbright-Hays Doctoral Dissertation Research Abroad (DDRA) program, provides grants to colleges and universities to fund individual doctoral students to conduct research in other countries in modern foreign languages and area studies for periods of 6- to -12 months. Under the Government Performance and Results Act, the Department will use the following measures to evaluate the success of the program in meeting this objective.

## **DDRA Performance Measures:**

- a. The average language competency score of Fulbright-Hays Doctoral Dissertation Research Abroad (DDRA) fellowship recipients at the end of their period of instruction minus their average score at the beginning of the period.
- Percentage of Fulbright-Hays Doctoral Dissertation Research Abroad projects judged to be successful by the program officer, based on a review of information provided in annual performance reports

The information provided by grantees in their performance reports submitted via the electronic International Resource Information System (IRIS) will be the source of data for this measure.

	G5 H	ours of O <sub>l</sub>	peration in East	ern Time		
Sun.	Mon.	Tues.	Wed.	Thur.	Fri.	Sat.
Unavailable from 08:00 PM - 11:59 PM	Unavailable from 12:00 AM - 05:59 AM	Available 24 hours	Unavailable from 09:00 PM - 11:59 PM	Unavailable from 12:00 AM - 05:59 AM	Available 24 hours	Available 24 hours

## **Student Checklist**

Ш	Fully completed Fulbright-Hays Doctoral Dissertation Research Abroad application form
	Curriculum Vitae
	A narrative – submitted via G5 e-Application (Check Closing Date Notice for page limitations)
	A bibliography – submitted via G5 e-Application (Check Closing Date Notice for page limitations)
	Electronic Graduate Student References – initiate the email to your references
	Electronic Language Reference for each language of research
	Graduate School Transcripts (submitted electronically)  Undergraduate Transcripts (recommended to help demonstrate the applicant's language and area studies academic training)
Date N	t your application to your institution's Project Director via G5 e-Application well in advance of the Closing otice deadline (contact your Project Director for information about institutional deadlines). When its submit the application via the G5 website, they will receive an automatic email notification when the
	sity's Project Director receives the application.
	sity's Project Director receives the application.
univer	Institution/Project Director Checklist
univer	Institution/Project Director Checklist  SF 424 Application for Federal Assistance  Item 22, which will automatically populate with a list of all students from your university who have submitted applications, their disciplines, countries of research, and amounts requested. Please note that Project Directors
univer	Institution/Project Director Checklist  SF 424 Application for Federal Assistance  Item 22, which will automatically populate with a list of all students from your university who have submitted applications, their disciplines, countries of research, and amounts requested. Please note that Project Directors must indicate for each student application whether or not Human Subjects Research Clearance (IRB) is required
univer	Institution/Project Director Checklist  SF 424 Application for Federal Assistance  Item 22, which will automatically populate with a list of all students from your university who have submitted applications, their disciplines, countries of research, and amounts requested. Please note that Project Directors must indicate for each student application whether or not Human Subjects Research Clearance (IRB) is required ED Supplemental information for the SF 424
univer	Institution/Project Director Checklist  SF 424 Application for Federal Assistance  Item 22, which will automatically populate with a list of all students from your university who have submitted applications, their disciplines, countries of research, and amounts requested. Please note that Project Directors must indicate for each student application whether or not Human Subjects Research Clearance (IRB) is required ED Supplemental information for the SF 424  Assurances for Non-Construction Programs

Project Directors must submit the application by 4:30 p.m. EST, on the Closing Date Notice published in the Federal Register. When Project Directors submit the application via the G5 website, they will receive an automatic email notification when the U.S. Department of Education receives the application.